

# Subadditivity formulas for test ideals

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## Symbolic Powers

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**Theorem (Ein-Lazarsfeld-Smith '01, Hochseter-Huneke '02)**

*Let  $R$  be a regular  $d$ -dimensional ring in characteristic 0. Then for all  $n$  and for all  $\mathfrak{p} \in \text{Spec } R$*

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The ELS proof uses **multiplier ideals**  $\mathcal{J}(R, \mathfrak{a})$

Hara '05 runs through the same argument using **test ideals**  $\tau(R, \mathfrak{a})$

Method of proof:

$$\mathfrak{p}^{(nd)} \subseteq \left\| \tau(R, \mathfrak{p}^{(nd)}) \right\| \subseteq \left\| \tau(R, \mathfrak{p}^{(d)}) \right\|^n \subseteq \mathfrak{p}^n$$

Subadditivity is the second inequality. Only holds for regular rings!

**Question:** What can we say for more general  $R$ ?

## Char $p$ preliminaries

$R$  Noetherian, characteristic  $p$

$$F^e : R \xrightarrow{F} R \xrightarrow{F} \cdots \xrightarrow{F} R$$

Let  $F_*^e R$  be the  $R$ -algebra given by restriction of scalars:

$$r \cdot F_*^e x = F_*^e r^{p^e} x.$$

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$R$ -linear maps  $\varphi : F_*^e R \rightarrow R \Leftrightarrow$  group homomorphisms  
 $\varphi : R \rightarrow R$  satisfying  
 $\varphi(r^{p^e} x) = r\varphi(x)$



## Char $p$ preliminaries (Test ideals)

**Definition** (Hochster-Huneke '90, Hara-Takagi '04, Schwede '10):

$\tau(R)$  = unique smallest nonzero ideal satisfying  $\varphi(F_*^e J) \subseteq J$

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**Pairs setting** (Hara-Yoshida '03/Hara-Takagi '04): For  $\mathfrak{a}_i \subseteq R$ ,  
 $t_i \in \mathbb{R}$ ,  $\tau(R, \prod_i \mathfrak{a}_i^{t_i})$  is smallest nonzero  $J$  satisfying

$$\varphi \left( F_*^e \prod_i \mathfrak{a}_i^{\lceil t_i p^e \rceil} J \right) \subseteq J$$

$(\mathfrak{a}_i^{t_i}$  is just suggestive notation)

## Subadditivity

If  $R$  is a regular  $k$ -algebra, then

$$\tau(R, \mathfrak{a}^s \mathfrak{b}^t) \subseteq \tau(R, \mathfrak{a}^s) \tau(R, \mathfrak{b}^t)$$

**Proof.**

Künneth formula:

$$\tau(R \otimes_k R, \mathfrak{a} \otimes_k R \cdot R \otimes_k \mathfrak{b}) = \tau(R, \mathfrak{a}) \otimes_k \tau(R, \mathfrak{b})$$

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Restriction formula: if  $x \in R$  a regular element, then

$$\tau(R/x, (\mathfrak{a}R/x)^s) \subseteq \tau(R, \mathfrak{a}^s)R/x$$

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### Proof.

Let  $\mu : R \otimes R \rightarrow R$  be multiplication,  $x \otimes y \mapsto xy$ . Let  $\Delta = \ker \mu$ .

Then  $\Delta$  is generated by a regular sequence since  $R$  is regular

Putting it together:

$$\begin{aligned} \tau(\mathfrak{a}^s \mathfrak{b}^t) &= \tau((R \otimes R)/\Delta, \mathfrak{a} \otimes_k R^s \cdot R \otimes_k \mathfrak{b}^t \text{ mod } \Delta) \\ &\subseteq \tau(\mathfrak{a} \otimes_k R \cdot R \otimes_k \mathfrak{b}) \text{ mod } \Delta = \tau(\mathfrak{a}^s) \tau(\mathfrak{b}^t) \end{aligned}$$

□



# Subadditivity

Restriction formula only works for regular rings! Possible workarounds:

- Abandon old proof (Takagi '07):

$$\text{Jac}(R)\tau(R, \mathfrak{a}^s \mathfrak{b}^t) \subseteq \tau(R, \mathfrak{a}^s)\tau(R, \mathfrak{b}^t)$$

- Use Cartier algebras

Cartier algebra:  $\mathcal{C} = \bigoplus_e \mathcal{C}_e \subseteq \bigoplus_e \text{Hom}_R(F_*^e R, R)$

$\tau(R, \mathfrak{a}^s, \mathcal{C}) = \text{smallest } J \text{ st } \varphi(F_*^e \mathfrak{a}^{\lceil p^e s \rceil} J) \subseteq J \text{ for all } \varphi \in \mathcal{C}_e$

# My cartier algebra

$\varphi \in \mathcal{C}_{\text{compat}}$  if:

$$\begin{array}{ccc} F_*^e (R \otimes_k R) & \cdots \rightarrow & R \otimes_k R \\ \mu \downarrow & & \downarrow \mu \\ F_*^e R & \xrightarrow{\varphi} & R \end{array}$$

Then we get this inclusion for free:

$$\tau(R, \mathfrak{a}^s \mathfrak{b}^t, \mathcal{C}_{\text{compat}}) \subseteq \tau(R \otimes R, (\mathfrak{a} \otimes R)^s (R \otimes \mathfrak{b})^t) \text{ mod } \Delta$$

So we have subadditivity:

$$\tau(R, \mathfrak{a}^s \mathfrak{b}^t, \mathcal{C}_{\text{compat}}) \subseteq \tau(R, \mathfrak{a}^s) \tau(R, \mathfrak{b}^t)$$

For this to be a useful formula, we must answer two questions:

- Is it stronger than Takagi's formula? (Work in progress)

$$\text{Jac}(R)\tau(R, \mathfrak{a}^s \mathfrak{b}^t) \subseteq \tau(R, \mathfrak{a}^s)\tau(R, \mathfrak{b}^t)$$

vs.

$$\tau(R, \mathfrak{a}^s \mathfrak{b}^t, \mathcal{C}_{\text{compat}}) \subseteq \tau(R, \mathfrak{a}^s)\tau(R, \mathfrak{b}^t)$$

# Questions

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vs.

$$\tau(R, \mathfrak{a}^s \mathfrak{b}^t, \mathcal{C}_{\text{compat}}) \subseteq \tau(R, \mathfrak{a}^s)\tau(R, \mathfrak{b}^t)$$

- How do we compute  $\tau(R, \mathfrak{a}^s \mathfrak{b}^t, \mathcal{C}_{\text{compat}})$ ? I.e. how do we compute  $\mathcal{C}_{\text{compat}}$ ?

## Toric examples

Normal subalgebras of  $k[\mathbf{x}] = k[x_1, \dots, x_n]$  generated by monomials

Correspondence:  $k[\mathbf{x}^{a_1}, \dots, \mathbf{x}^{a_m}]$ ,  $a_i \in \mathbb{Z}^n \Leftrightarrow$  cone in  $\mathbb{R}^n$  generated by  $a_1, \dots, a_m$

Monomials in the ring  $\Leftrightarrow$  lattice points in the cone

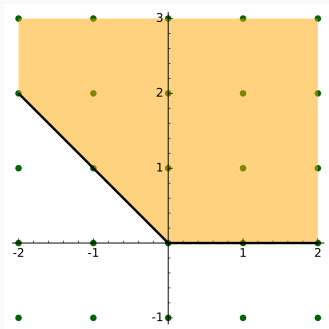
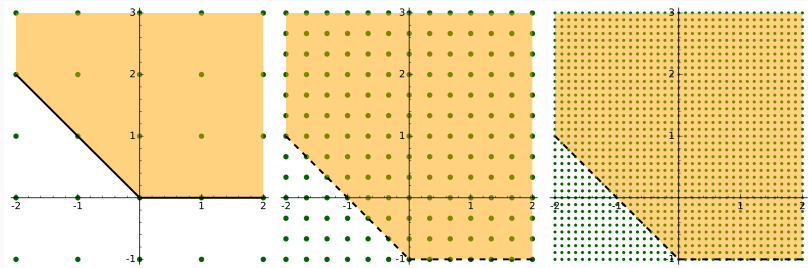


Figure 1:  $R = k[x^{-1}y, x]$

## Toric examples

Translate cone  $C$  to get cone  $P$ . Points in  $\frac{1}{p^e}\mathbb{Z} \cap P$  correspond to maps  $F_*^e R \rightarrow R$ . These maps generate  $\text{Hom}(F_*^e R, R)$  as  $k$ -vector space.



**Figure 2:**

$$R = \mathbb{Z}/3[x^{-1}y, x]$$

**Figure 3:**

$$\text{Hom}(F_*^1 R, R)$$

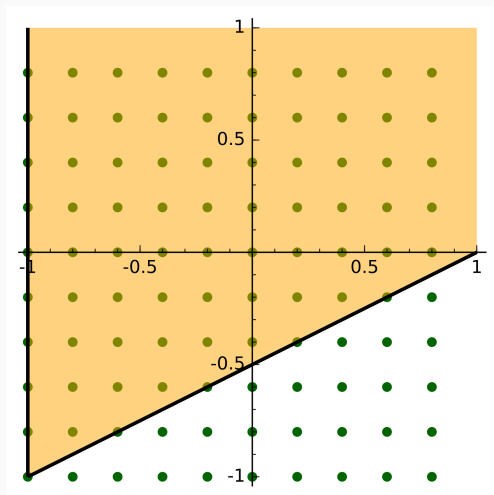
**Figure 4:**

$$\text{Hom}(F_*^2 R, R)$$

## Lemma

*Let  $d \in P$ . The map corresponding to  $d$  is a generator of  $\mathcal{C}_{\text{compat}}$  if and only if  $P \cap (d - P)$  contains a representative of each equivalence class of  $\frac{1}{p^e}\mathbb{Z}/\mathbb{Z}$*

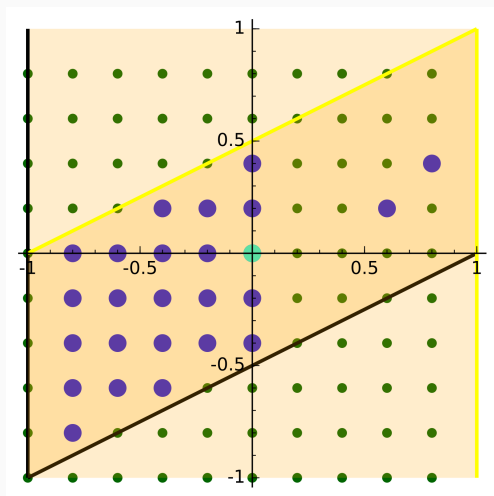
E.g.:  $R = \mathbb{Z}/5[x, y, z]/(xy - z^2) = \mathbb{Z}/5[y, xy, x^2y]$



**Figure 5:** The cone  $P$ . Lattice points  $\Leftrightarrow$  maps  $F_*^1 R \rightarrow R$

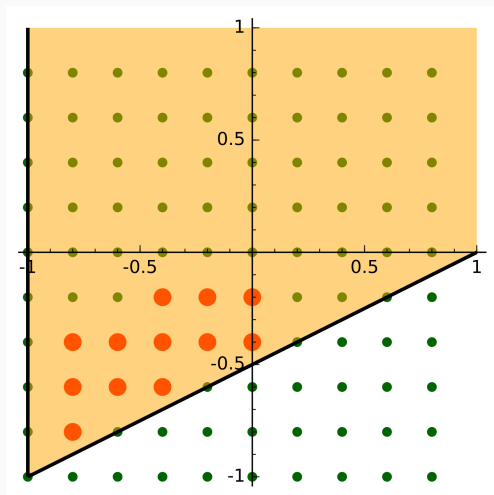


$$\text{E.g.: } R = k[x, y, z]/(xy - z^2) = k[y, xy, x^2y]$$



**Figure 6:**  $P \cap ((0, 0) - P)$  contains a representative of each equivalence class of  $\frac{1}{5}\mathbb{Z}/\mathbb{Z}$

E.g.:  $R = k[x, y, z]/(xy - z^2) = k[y, xy, x^2y]$



**Figure 7:** Lattice points corresponding to maps that don't lift

## Test ideal comparison

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- E.g.  $\tau(R, (1)^1) = \tau(R, (1)^1, \mathcal{C}_{\text{compat}}) = R$
- Thus  $\text{Jac}(R)\tau(R, (1)^1) = (x, y, z) \subsetneq \tau(R, (1)^1, \mathcal{C}_{\text{compat}})$