

D-bar Operators in Commutative and Noncommutative Domains

Matt McBride

University of Oklahoma

October 19, 2013

- ▶ Atiyah, M. F., Patodi, V. K. and Singer I. M., Spectral asymmetry and Riemannian geometry I. *Math. Proc. Camb. Phil. Soc.*, 77, 43 - 69, 1975.

- ▶ Atiyah, M. F., Patodi, V. K. and Singer I. M., Spectral asymmetry and Riemannian geometry I. *Math. Proc. Camb. Phil. Soc.*, 77, 43 - 69, 1975.
- ▶ Carey, A. L., Klimek, S. and Wojciechowski, K. P., Dirac operators on noncommutative manifolds with boundary, *Lett. Math. Phys.* 93, 107 - 125, 2010.

Commutative Disk and Annulus

- ▶ Let $\mathbb{D}_{w^+} = \{z \in \mathbb{C} : |z| \leq w^+\}$ and
 $\mathbb{A}_{w^-, w^+} = \{z \in \mathbb{C} : 0 < w^- \leq |z| \leq w^+\}$.

Spaces and Operator

- ▶ Let $\mathbb{D}_{w^+} = \{z \in \mathbb{C} : |z| \leq w^+\}$ and $\mathbb{A}_{w^-, w^+} = \{z \in \mathbb{C} : 0 < w^- \leq |z| \leq w^+\}$.
- ▶ Notice $\partial\mathbb{D}_{w^+} \cong S^1$ and $\partial\mathbb{A}_{w^-, w^+} \cong S^1 \cup S^1$.

- ▶ Let $\mathbb{D}_{w^+} = \{z \in \mathbb{C} : |z| \leq w^+\}$ and $\mathbb{A}_{w^-, w^+} = \{z \in \mathbb{C} : 0 < w^- \leq |z| \leq w^+\}$.
- ▶ Notice $\partial\mathbb{D}_{w^+} \cong S^1$ and $\partial\mathbb{A}_{w^-, w^+} \cong S^1 \cup S^1$.
- ▶ Let

$$D = \frac{\partial}{\partial \bar{z}}$$

be the operator acting on the space of H^1 functions.

Two Short Exact Sequences

- ▶ Let $\sigma f(\varphi) = f(w^+ e^{i\varphi})$ for $z = re^{i\varphi}$.

Two Short Exact Sequences

▶ Let $\sigma f(\varphi) = f(w^+ e^{i\varphi})$ for $z = re^{i\varphi}$.

▶

$$0 \rightarrow C_0(\mathbb{D}_{w^+}) \rightarrow C(\mathbb{D}_{w^+}) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

Two Short Exact Sequences

- ▶ Let $\sigma f(\varphi) = f(w^+ e^{i\varphi})$ for $z = re^{i\varphi}$.



$$0 \rightarrow C_0(\mathbb{D}_{w^+}) \rightarrow C(\mathbb{D}_{w^+}) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

- ▶ Let $\sigma_{\pm} f(\varphi) = f(w^{\pm} e^{i\varphi})$.

Two Short Exact Sequences

- ▶ Let $\sigma f(\varphi) = f(w^+ e^{i\varphi})$ for $z = re^{i\varphi}$.



$$0 \rightarrow C_0(\mathbb{D}_{w^+}) \rightarrow C(\mathbb{D}_{w^+}) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

- ▶ Let $\sigma_{\pm} f(\varphi) = f(w^{\pm} e^{i\varphi})$.



$$0 \rightarrow C_0(\mathbb{A}_{w^-, w^+}) \rightarrow C(\mathbb{A}_{w^-, w^+}) \xrightarrow{\sigma_+ \oplus \sigma_-} C(S^1) \oplus C(S^1) \rightarrow 0$$

- ▶ Let M be a closed manifold with boundary Y and let D be a Dirac operator defined on M .

- ▶ Let M be a closed manifold with boundary Y and let D be a Dirac operator defined on M .
- ▶ Let M have a “product” structure near the boundary so that an infinite cylinder can be attached.

- ▶ Let M be a closed manifold with boundary Y and let D be a Dirac operator defined on M .
- ▶ Let M have a “product” structure near the boundary so that an infinite cylinder can be attached.
- ▶ Let D have a “special” decomposition structure so that it extends naturally to the infinite cylinder.

Study D with domain:

- ▶ $F \in H^1(M)$

Study D with domain:

- ▶ $F \in H^1(M)$
- ▶ There is a $F^{\text{ext}} \in H_{\text{loc}}^1(\text{cylinder})$ such that $DF^{\text{ext}} = 0$,
 $F^{\text{ext}}|_Y = F|_Y$ and $F^{\text{ext}} \in L^2(\text{cylinder})$

Boundary Conditions

- ▶ Let $D_{\mathbb{D}} = D$ and $D_{\mathbb{A}} = D$ where

Boundary Conditions

- ▶ Let $D_{\mathbb{D}} = D$ and $D_{\mathbb{A}} = D$ where
- ▶ $\text{dom}(D_{\mathbb{D}})$ consists of $a \in H^1(\mathbb{D}_{w^+})$ such that there is $a^{\text{ext}} \in H_{\text{loc}}^1(\mathbb{C} \setminus \mathbb{D}_{w^+})$ such that $a^{\text{ext}}|_{S^1} = a|_{S^1}$, $Da^{\text{ext}} = 0$,
 $a^{\text{ext}} \in L^2(\mathbb{C} \setminus \mathbb{D}_{w^+})$

Boundary Conditions

- ▶ Let $D_{\mathbb{D}} = D$ and $D_{\mathbb{A}} = D$ where
- ▶ $\text{dom}(D_{\mathbb{D}})$ consists of $a \in H^1(\mathbb{D}_{w^+})$ such that there is $a^{\text{ext}} \in H_{\text{loc}}^1(\mathbb{C} \setminus \mathbb{D}_{w^+})$ such that $a^{\text{ext}}|_{S^1} = a|_{S^1}$, $Da^{\text{ext}} = 0$, $a^{\text{ext}} \in L^2(\mathbb{C} \setminus \mathbb{D}_{w^+})$
- ▶ and $\text{dom}(D_{\mathbb{A}})$ consists of $a \in H^1(\mathbb{A}_{w^-, w^+})$ such that there is $a^{\text{ext}} \in H_{\text{loc}}^1(\mathbb{C} \setminus \mathbb{A}_{w^-, w^+})$ such that $a^{\text{ext}}|_{S^1 \cup S^1} = a|_{S^1 \cup S^1}$, $Da^{\text{ext}} = 0$, $a^{\text{ext}} \in L^2(\mathbb{C} \setminus \mathbb{A}_{w^-, w^+})$.

Boundary Conditions

- ▶ Let $D_{\mathbb{D}} = D$ and $D_{\mathbb{A}} = D$ where
- ▶ $\text{dom}(D_{\mathbb{D}})$ consists of $a \in H^1(\mathbb{D}_{w^+})$ such that there is $a^{\text{ext}} \in H_{\text{loc}}^1(\mathbb{C} \setminus \mathbb{D}_{w^+})$ such that $a^{\text{ext}}|_{S^1} = a|_{S^1}$, $Da^{\text{ext}} = 0$, $a^{\text{ext}} \in L^2(\mathbb{C} \setminus \mathbb{D}_{w^+})$
- ▶ and $\text{dom}(D_{\mathbb{A}})$ consists of $a \in H^1(\mathbb{A}_{w^-, w^+})$ such that there is $a^{\text{ext}} \in H_{\text{loc}}^1(\mathbb{C} \setminus \mathbb{A}_{w^-, w^+})$ such that $a^{\text{ext}}|_{S^1 \cup S^1} = a|_{S^1 \cup S^1}$, $Da^{\text{ext}} = 0$, $a^{\text{ext}} \in L^2(\mathbb{C} \setminus \mathbb{A}_{w^-, w^+})$.
- ▶ $D_{\mathbb{D}}$ and $D_{\mathbb{A}}$ have parametrices, i.e. they are almost invertible.

A Fourier Series and Boundary Conditions Equivalence



$$a = \sum_{n=0}^{\infty} e^{in\varphi} f_n(r) + \sum_{n=1}^{\infty} g_n(r) e^{-in\varphi}$$

A Fourier Series and Boundary Conditions Equivalence



$$a = \sum_{n=0}^{\infty} e^{in\varphi} f_n(r) + \sum_{n=1}^{\infty} g_n(r) e^{-in\varphi}$$

- ▶ Let $a \in \text{dom}(D_{\mathbb{D}})$, then $f_n(w^+) = 0$ for $n \geq 0$.

A Fourier Series and Boundary Conditions Equivalence



$$a = \sum_{n=0}^{\infty} e^{in\varphi} f_n(r) + \sum_{n=1}^{\infty} g_n(r) e^{-in\varphi}$$

- ▶ Let $a \in \text{dom}(D_{\mathbb{D}})$, then $f_n(w^+) = 0$ for $n \geq 0$.
- ▶ Let $a \in \text{dom}(D_{\mathbb{A}})$, then $f_n(w^+) = 0$ for $n \geq 0$ and $g_n(w^-) = 0$ for $n \geq 1$.

Dirac Operator in Polar Form and Parametrix Decomposition



$$D = \frac{e^{i\varphi}}{2} \left(\frac{\partial}{\partial r} + \frac{i}{r} \frac{\partial}{\partial \varphi} \right)$$

Dirac Operator in Polar Form and Parametrix Decomposition



$$D = \frac{e^{i\varphi}}{2} \left(\frac{\partial}{\partial r} + \frac{i}{r} \frac{\partial}{\partial \varphi} \right)$$



$$Qa = - \sum_{n=0}^{\infty} e^{in\varphi} \int_r^{w^+} f_{n+1}(\rho) \frac{r^{n-1}}{\rho^n} d\rho \\ + \sum_{n=1}^{\infty} e^{-in\varphi} \int_{w^-}^r g_{n-1}(\rho) \frac{\rho^{n-1}}{r^n} d\rho$$

Theorem

The operators $D_{\mathbb{D}}$ and $D_{\mathbb{A}}$ are unbounded Fredholm operators. Moreover their respective parametrices $Q_{\mathbb{D}}$ and $Q_{\mathbb{A}}$ are compact operators. This also means these are elliptic boundary value problems.

Quantum Disk

Gelfand-Naimark Theorem

- ▶ Let X compact topological space and $C(X)$ the continuous functions on X . Can associate $C(X)$ with X

Gelfand-Naimark Theorem

- ▶ Let X compact topological space and $C(X)$ the continuous functions on X . Can associate $C(X)$ with X
- ▶ $C(X)$ commutative C^* -algebra with unit

Gelfand-Naimark Theorem

- ▶ Let X compact topological space and $C(X)$ the continuous functions on X . Can associate $C(X)$ with X
- ▶ $C(X)$ commutative C^* -algebra with unit
- ▶ GN says if \mathcal{A} is a commutative C^* -algebra with unit, then there is a X , compact topological space such that $\mathcal{A} = C(X)$

Gelfand-Naimark Theorem

- ▶ Let X compact topological space and $C(X)$ the continuous functions on X . Can associate $C(X)$ with X
- ▶ $C(X)$ commutative C^* -algebra with unit
- ▶ GN says if \mathcal{A} is a commutative C^* -algebra with unit, then there is a X , compact topological space such that $\mathcal{A} = C(X)$
- ▶ We think of a noncommutative (quantum) space as a noncommutative C^* -algebra.

- ▶ Let $\{e_k\}$ be the canonical basis for $\ell^2(\mathbb{N})$.

Some Weights

- ▶ Let $\{e_k\}$ be the canonical basis for $\ell^2(\mathbb{N})$.
- ▶ Define $U_W e_k = w(k)e_{k+1}$ where $\{w(k)\}_{k \in \mathbb{N}}$ is an increasing sequence of positive real numbers such that

$$w^+ := \lim_{k \rightarrow \infty} w(k)$$

exists.

The Quantum Disk and a Short Exact Sequence

- ▶ Let $C^*(U_W)$ be the C^* -algebra generated by U_W .

The Quantum Disk and a Short Exact Sequence

- ▶ Let $C^*(U_W)$ be the C^* -algebra generated by U_W .



$$0 \rightarrow \mathcal{K} \rightarrow C^*(U_W) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

The Quantum Disk and a Short Exact Sequence

- ▶ Let $C^*(U_W)$ be the C^* -algebra generated by U_W .



$$0 \rightarrow \mathcal{K} \rightarrow C^*(U_W) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

- ▶ $\sigma(U) = e^{i\varphi}$, $\sigma(U^*) = e^{-i\varphi}$, $\sigma(\text{compact}) = 0$

The Quantum Disk and a Short Exact Sequence

- ▶ Let $C^*(U_W)$ be the C^* -algebra generated by U_W .



$$0 \rightarrow \mathcal{K} \rightarrow C^*(U_W) \xrightarrow{\sigma} C(S^1) \rightarrow 0$$

- ▶ $\sigma(U) = e^{i\varphi}$, $\sigma(U^*) = e^{-i\varphi}$, $\sigma(\text{compact}) = 0$
- ▶ This C^* -algebra is the quantum disk.

Informal Idea About Noncommutative Spaces

Classical

- ▶ $\mathbb{D} \longrightarrow C(\mathbb{D})$ C^* -algebra generated by z and \bar{z}

Quantum

- ▶ $\mathbb{D}_q \longrightarrow C^*(U_W)$, generated by unilateral shift

Informal Idea About Noncommutative Spaces

Classical

- ▶ $\mathbb{D} \longrightarrow C(\mathbb{D})$ C^* -algebra generated by z and \bar{z}
- ▶ $\mathbb{A} \longrightarrow C(\mathbb{A})$ C^* -algebra generated by z and \bar{z}

Quantum

- ▶ $\mathbb{D}_q \longrightarrow C^*(U_W)$, generated by unilateral shift
- ▶ $\mathbb{A}_q \longrightarrow C^*(U_W)$, generated by bilateral shift

A Formal Series I

- ▶ $Ke_k = ke_k$, and let $a^{(n)}(k)$ be an inversely summable sequence whose sum goes to zero at $n \rightarrow \infty$.

A Formal Series I

- ▶ $Ke_k = ke_k$, and let $a^{(n)}(k)$ be an inversely summable sequence whose sum goes to zero at $n \rightarrow \infty$.
- ▶ Let $a \in C^*(U_W)$ define

$$a_{\text{series}} := \sum_{n=0}^{\infty} U^n f_n(K) + \sum_{n=1}^{\infty} g_n(K)(U^*)^n$$

A Formal Series I

- ▶ $Ke_k = ke_k$, and let $a^{(n)}(k)$ be an inversely summable sequence whose sum goes to zero at $n \rightarrow \infty$.
- ▶ Let $a \in C^*(U_W)$ define

$$a_{\text{series}} := \sum_{n=0}^{\infty} U^n f_n(K) + \sum_{n=1}^{\infty} g_n(K)(U^*)^n$$

- ▶ $f_n(k) = \langle e_k, (U^*)^n a e_k \rangle$, $g_n(k) = \langle e_k, a U^n e_k \rangle$



$$\|a_{\text{series}}\|^2 = \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{1}{a^{(n)}(k)} |f_n(k)|^2 + \sum_{k=0}^{\infty} \sum_{n=1}^{\infty} \frac{1}{a^{(n)}(k)} |g_n(k)|^2$$

A Formal Series II



$$\|a_{\text{series}}\|^2 = \sum_{k=0}^{\infty} \sum_{n=0}^{\infty} \frac{1}{a^{(n)}(k)} |f_n(k)|^2 + \sum_{k=0}^{\infty} \sum_{n=1}^{\infty} \frac{1}{a^{(n)}(k)} |g_n(k)|^2$$

- ▶ This series looks very similar to the Fourier series for the classical case. Think of k as the discretization of the radial variable r where we divide up the unit interval into infinitely many subintervals so the $1/a^{(n)}(k)$ appear as the differential term in the integral for the norm.

- ▶ Let \mathcal{H} be the Hilbert space consisting of the formal series a_{series} such that $\|a_{\text{series}}\|$ is finite.

- ▶ Let \mathcal{H} be the Hilbert space consisting of the formal series a_{series} such that $\|a_{\text{series}}\|$ is finite.

- ▶ **Proposition**

If $a \in C^(U_W)$, then a_{series} converges to a in \mathcal{H} and moreover $C^*(U_W)$ is dense in \mathcal{H} .*

A Commutator and Trace

- ▶ Define $S := [U_W^*, U_W]$.

A Commutator and Trace

- ▶ Define $S := [U_W^*, U_W]$.
- ▶ S is hyponormal, injective, and trace class with $\text{tr } S = (w^+)^2$.

A Commutator and Trace

- ▶ Define $S := [U_W^*, U_W]$.
- ▶ S is hyponormal, injective, and trace class with $\text{tr } S = (w^+)^2$.
- ▶ S is also invertible with unbounded inverse.

A Commutator and Trace

- ▶ Define $S := [U_W^*, U_W]$.
- ▶ S is hyponormal, injective, and trace class with $\text{tr } S = (w^+)^2$.
- ▶ S is also invertible with unbounded inverse.
- ▶ Set $a^{(n)}(k) = S^{-1/2}(k)S^{-1/2}(k+n)$

The Operator

► $Da = S^{-1/2}[a, U_W]S^{-1/2}$

The Operator

- ▶ $Da = S^{-1/2}[a, U_W]S^{-1/2}$
- ▶ $\text{dom}(D) = \{a \in \mathcal{H} : Da \in \mathcal{H}\}$

Boundary Conditions

► Let

$$a = \sum_{n=0}^{\infty} U^n f_n(K) + \sum_{n=1}^{\infty} g_n(K)(U^*)^n$$

- ▶ Let

$$a = \sum_{n=0}^{\infty} U^n f_n(K) + \sum_{n=1}^{\infty} g_n(K)(U^*)^n$$

- ▶ If $a \in \text{dom}(D)$, then $f_n(\infty) = 0$ for $n \geq 0$.

- ▶ Let

$$a = \sum_{n=0}^{\infty} U^n f_n(K) + \sum_{n=1}^{\infty} g_n(K)(U^*)^n$$

- ▶ If $a \in \text{dom}(D)$, then $f_n(\infty) = 0$ for $n \geq 0$.
- ▶ D also has a parametrix Q .

Unbounded Jacobi Operators



$$A^{(n)}h(k) = a^{(n)}(k) \left(h(k) - c^{(n)}(k-1)h(k-1) \right)$$

$$\overline{A}^{(n)}h(k) = a^{(n+1)}(k) \left(h(k) - c^{(n)}(k)h(k+1) \right)$$

Unbounded Jacobi Operators

- ▶
$$A^{(n)}h(k) = a^{(n)}(k) \left(h(k) - c^{(n)}(k-1)h(k-1) \right)$$
$$\bar{A}^{(n)}h(k) = a^{(n+1)}(k) \left(h(k) - c^{(n)}(k)h(k+1) \right)$$
- ▶ where $c^{(n)}(k) = w(k)/w(k+n+1)$



$$Da = - \sum_{n=0}^{\infty} U^{n+1} \bar{A}^{(n)} W^{(n)} f_n(K) \\ + \sum_{n=1}^{\infty} W^{(n-1)} A^{(n-1)} g_n(K) (U^*)^{n-1}$$

The Parametrix

$Qa =$

$$\begin{aligned} & - \sum_{m=0}^{\infty} U^m \left(\sum_{i=k}^{\infty} \prod_{j=1}^m \frac{w(k+j)}{w(i+j)} \cdot \frac{S^{1/2}(i)S^{1/2}(i+m+1)}{w(k+m)} f_{m+1}(i) \right) \\ & + \sum_{n=1}^{\infty} \left(\sum_{i=0}^k \prod_{j=0}^{n-1} \frac{w(i+j)}{w(k+j)} \cdot \frac{S^{1/2}(i)S^{1/2}(i+n-1)}{w(i+n-1)} g_{n-1}(i) \right) (U^*)^n \end{aligned}$$

Theorem

The operator D is an unbounded Fredholm operator. Moreover its parametrix Q is a compact operator and hence this is an elliptic boundary value problem.

-  Atiyah, M. F., Patodi, V. K. and Singer I. M., Spectral asymmetry and Riemannian geometry I. *Math. Proc. Camb. Phil. Soc.*, 77, 43 - 69, 1975.
-  Klimek, S. and McBride, M., D-bar Operators on Quantum Domains. *Math. Phys. Anal. Geom.*, 13, 357 - 390, 2010.

Thank You