

# On (not) the dualizing module of $\text{Aut}(F_n)$

“Much of the work on mapping class groups and automorphisms of free groups is motivated by the idea that these sequences of groups are strongly analogous, and should have many properties in common. This program is occasionally derailed by uncooperative facts but has in general proved to be a successful strategy, leading to fundamental discoveries about the structure of these groups.”  
 -Martin Bridson and Karen Vogtmann

$\text{SL}_n(\mathbb{R})$

$\text{Aut}(F_n)$

**Def**  
 A submodule  $N \subseteq M$  is called a summand if there is  $C$  with  $M = N \oplus C$ .  
 Let  $T(M)$  be the realization of the poset of nontrivial, proper summands of  $M$ .

**Def**  
 A group  $H \subseteq G$  is called a free factor if there is  $C$  with  $G = H * C$ .  
 Let  $T(G)$  be the realization of the poset of nontrivial, proper free factors of  $G$ .

**Thm (Solomon-Tits)**  
 Let  $R$  be a Dedekind domain. Then  $T(R^n)$  is  $(n-3)$ -connected.

**Thm (Hatcher-Vogtmann)**  
 $T(F_n)$  is  $(n-3)$ -connected.

**Def**  
 $\text{St}(R^n) = \tilde{H}_{n-2}(T(R^n))$ .

**Def**  
 $\text{St}(F_n) = \tilde{H}_{n-2}(T(F_n))$ .

**Def**  
 A group  $G$  is called a rational duality group if there is some number  $v$  and  $G$ -rep  $D$  such that:  
 $H^i(v-i)(G; D) = H_{i-v}(G; M \otimes D)$ .  
 (everything over char 0)  
 $v$  is called the virtual cohomological dimension of  $G$ .  
 $M$  is called the dualizing module.

**Thm (Borel-Serre)**  
 Let  $R$  be a number ring. Then  $\text{SL}_n(\mathbb{R})$  is a virtual duality group.

**Thm (Bestvina-Feighn)**  
 $\text{Aut}(F_n)$  is a virtual duality group.

$$\text{vcd}(\text{SL}_n(\mathbb{Z})) = \binom{n}{2}$$

$$\text{vcd}(\text{Aut}(F_n)) = 2n - 2$$

**Thm (Borel-Serre)**  
 Let  $R$  be a number ring.  $\text{St}(R^n)$  is the dualizing module of  $\text{SL}_n(\mathbb{R})$ .

**Conjecture (Hatcher-Vogtmann)**  
 $\text{St}(F_n)$  is the dualizing module of  $\text{Aut}(F_n)$ .

“This program is occasionally derailed by uncooperative facts...”

**Thm (Himes-M.-Nariman)**  
 $\text{St}(F_5)$  is not the dualizing module of  $\text{Aut}(F_5)$ .

Proof overview

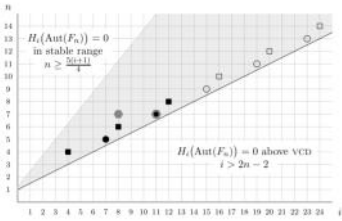


Table copied from:  
**Assembling homology classes in automorphism groups of free groups**  
[James Conant, Allen Hatcher, Martin Kassabov, Karen Vogtmann](#)  
<https://arxiv.org/abs/1501.02351v4>

Thm (Hatcher-Vogtman)  
 $H^i(\mathbb{Z}_2\text{-Aut}(F_5)) = \mathbb{Q}$

Thm (Himes-M-Nariman)  
 $H_1(\text{Aut}(F_n); \mathbb{Z}) = 0$  for  $n \geq 2$ .

Remark  
 It is unknown if  $H^i(\mathbb{Z}_2\text{-Aut}(F_n)) = 0$  for large  $n$ .

Proof strategy:  
 Construct a flat presentation:

$$\text{St}(F_n) \leftarrow G_n \leftarrow R_n$$

With  $(G_n)_{\text{Aut}(F_n)} = 0$   
 and  $(R_n)_{\text{Aut}(F_n)} = 0$   
 for  $n \geq 2$ .

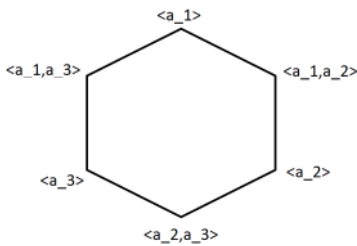
Review:  
 If  $M$  is a  $G$ -representation, then  $M_G = H_0(G; M)$  is  $M$  mod the submodule generated by  $m \cdot gm$ .

For simplicity, I will focus on generators.

To prove  $H_0(\text{Aut}(F_n); \mathbb{Z}) = 0$  for  $n \geq 2$ , it suffices to find a generating set of  $\text{St}(F_n)$  that maps to 0 in  $H_0(\text{Aut}(F_n); \mathbb{Z}) = \text{St}(F_n)_{\text{Aut}(F_n)}$ .

Let  $a_1, \dots, a_n$  be a basis of  $F_n$ .  
 Let  $[[a_1, \dots, a_n]]$  be the subcomplex of  $T(F_n)$  generated by proper nonempty subsets of  $\{a_1, a_2, \dots, a_n\}$ .

Ex  
 $n=3$



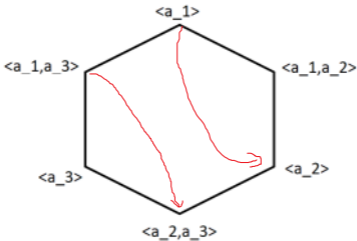
Prop  
 $[[a_1, \dots, a_n]] \cong S^{\wedge}(n-2)$

Let  $[[a_1, \dots, a_n]]$  also denote the image of  $[S^{\wedge}(n-2)]$  in  $\text{St}(F_n) = \tilde{H}_{n-2}(T(F_n))$

Thm (Hatcher-Vogtmann, Costa)

$St(F_n)$  is generated by classes of the form  $[[a_1, \dots, a_n]]$ .

Let  $f: F_3 \rightarrow F_3$  be  $f(a_1)=a_2$ ,  $f(a_2)=a_1$ , and  $f(a_3)=a_3$



For  $n \geq 2$ , there is  $f$  in  $Aut(F_n)$  with  $f([[a_1, \dots, a_n]]) = -[[a_1, \dots, a_n]]$ .

So  $[[a_1, \dots, a_n]] = -[[a_1, \dots, a_n]]$  in  $St(F_n)_{Aut(F_n)}$

So  $[[a_1, \dots, a_n]] = 0$  in  $St(F_n)_{Aut(F_n)}$

So  $H_0(Aut(F_n); St(F_n)) = St(F_n)_{Aut(F_n)} = 0$ .

Thm (HMN)

The relations in  $St(F_n)$  are generated by:

i) Order of the basis in  $[[a_1, \dots, a_n]]$  only matters up to sign.

ii)  $[[a_1, a_2, \dots, a_n]] = [[a_1^{-1}, a_2, \dots, a_n]]$

iii)  $[[a_1, a_2, \dots, a_n]] - [[a_1 a_2, a_2, \dots, a_n]] + [[a_1 a_2, a_1, \dots, a_n]] = 0$

Relations vanish in coinvariance so  $H_1(Aut(F_n); St(F_n)) = 0$

Example of relation 3:

$$[[x, y]] = x \cdot y \text{ in } \tilde{H}_0(T(F_2))$$

$$[[a_1, a_2]] - [[a_1 a_2, a_2]] + [[a_1 a_2, a_1]] =$$

$$a_1 a_2 - (a_1 a_2 a_2) + (a_1 a_2 a_1) = 0$$

# Simplicial complexes and 3-manifolds

Obvious thm

Let  $S$  be a set. Then  $\{a_i b_j\}$  generate  $\tilde{H}_0(S)$  if and only if the graph with vertices  $S$  and with edges  $[a_i, b_j]$  is connected.

Def

An element of  $F_n$  is called primitive if it is part of a basis.

Ex

Let  $F_2 = \langle a, b \rangle$

Then  $a^2 b^2$  is not part of a basis since



is not part of a basis of  $Z^2$ .

Def

Let  $B(F_2)$  be the graph with vertices rank 1 free factors of  $F_2$  and edges connecting free factors  $A$  and  $B$  if  $F_2 = A * B$ .

Note that a rank 1 free factor is the same data as a primitive vector up to taking inverses.

Alt Def

Let  $B(F_2)$  be the graph with edges  $\{x^\pm\}$  with  $x$  primitive in  $F_2$ .  $\{x^\pm, y^\pm\}$  forms an edge if  $x, y$  is a basis of  $F_2$ .

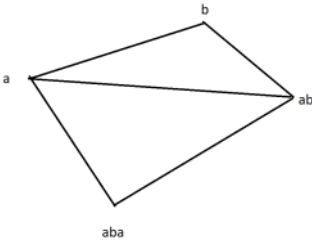
Observation

Zero skeleton of  $B(F_2)$  is  $T(F_2)$ .

Edges come from our proposed generating set.

Thus:

$B(F_2)$  is connected if and only if  $St(F_2)$  is generated by classes of the form  $\{[a_1, a_2]\}$ .



$b, aba$  does not form a basis.  
 $b - aba$  in  $St(F_2) = \tilde{H}_0(T(F_2))$

$$b - aba = (aba - a) + (a - b)$$

(too lazy to write  $^\pm$ )

Def

Let  $B(F_n)$  be the graph with edges  $\{x^\pm\}$  with  $x$  primitive in  $F_n$ .  $\{x_0^\pm, x_1^\pm, \dots, x_p^\pm\}$  forms a  $p$ -simplex if  $x_0, \dots, x_p$  is a subset of a basis.

Thm (Costa)

$B(F_n)$  is  $(n-2)$ -connected.

Cor

$St(F_n)$  is generated by classes of the form  $\{[a_1, \dots, a_n]\}$ .

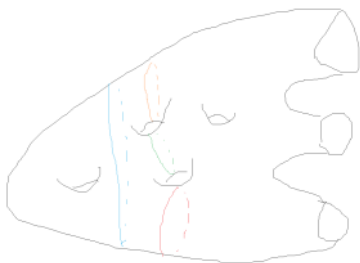
### 3-manifolds

Let  $M_{n,m} = \#_n S^2 \times S^1 - m$  balls

$M_{4,3} =$

Def

Let  $Y(M_{n,m})$  be the simplicial complex with vertices isotopy classes of embedded non-separating 2-spheres in  $M_{n,m}$ .  $S_0, \dots, S_p$  form a  $p$ -simplex if they can be isotoped to be disjoint and non-separating.



Blue is not a vertex.  
 Red, green, and orange are vertices.  
 [red, green, orange] is not a 2-simplex.  
 [green, orange] is a 1-simplex.

Prop

$Y(M_{2,1}) \cong B(F_2)$

Pick a basepoint in  $dM_{2,1}$ .

Send  $S$  to  $\pi_1(M_{2,1} - S)$  which is rank 1 free factor of  $\pi_1(M_{2,1}) = F_2$ .

Def

Let  $S(M_{n,m})$  be the simplicial complex with vertices isotopy classes of embedded 2-spheres in  $M_{n,m}$ .  $S_0, \dots, S_p$  form a  $p$ -simplex if they can be isotoped to be disjoint.

Thm (Hatcher)

$S(M_{n,m})$  is contractible.

To prove  $Y(M_{2,1})$  is connected, first find a path in  $S(M_{n,m})$ . Then try to push the path to be in  $Y(M_{2,1})$ .

Similar ideas work for bigger  $n$  (work of Hatcher-Vogtmann).