

SYMMETRIES OF ESCHENBURG SPACES AND THE CHERN PROBLEM

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Dedicated to the memory of S. S. Chern.

To advance our basic knowledge of manifolds with positive (sectional) curvature it is essential to search for new examples, and to get a deeper understanding of the known ones. Although any positively curved manifold can be perturbed so as to have trivial isometry group, it is natural to look for, and understand the most symmetric ones, as in the case of homogeneous spaces. In addition to the *compact rank one symmetric spaces*, the complete list (see [BB]) of simply connected homogeneous manifolds of positive curvature consists of the *Berger spaces* B^7 and B^{13} [Be], the *Wallach spaces* W^6, W^{12} and W^{24} [Wa], and the infinite class of so-called *Aloff–Wallach spaces*, \mathcal{A}^7 [AW]. Their full isometry groups were determined in [Sh2], and this knowledge provided new basic information about possible fundamental groups of positively curved manifolds, and in particular to counter-examples of the so-called *Chern conjecture* (see [Sh1] and [GSh, Ba2]), which states that every abelian subgroup of the fundamental group is cyclic.

Our purpose here is to begin a systematic analysis of the isometry groups of the remaining known manifolds of positive curvature, i.e., of the so-called *Eschenburg spaces*, \mathcal{E}^7 [Es1, Es2] (plus one in dimension 6) and the *Bazaikin spaces*, \mathcal{B}^{13} [Ba1], with an emphasis on the former. In particular, we completely determine the identity component of the isometry group of any positively curved Eschenburg space. A member of \mathcal{E} is a so-called *bi-quotient* of $SU(3)$ by a circle:

$$E = \text{diag}(z^{k_1}, z^{k_2}, z^{k_3}) \backslash SU(3) / \text{diag}(z^{l_1}, z^{l_2}, z^{l_3})^{-1}, |z| = 1$$

with $\sum k_i = \sum l_i$. Further conditions on the integers are required for E to be a manifold and for the Eschenburg metric to have positive curvature, see (1.1). They contain the homogeneous Aloff–Wallach spaces \mathcal{A} , corresponding to $l_i = 0, i = 1, 2, 3$, as a special subfamily. Similarly, any member of \mathcal{B} is a bi-quotient of $SU(5)$ by $Sp(2)S^1$ and the Berger space, $B^{13} \in \mathcal{B}$. It was already noticed several years ago by the first and last author, that both \mathcal{E} and \mathcal{B} contain an infinite family \mathcal{E}_1 respectively \mathcal{B}_1 of cohomogeneity one, i.e., they admit an isometric group action with 1-dimensional orbit space (see section 1 and [Zi]). There is a larger interesting subclass $\mathcal{E}_2 \subset \mathcal{E}$, corresponding to $l_1 = l_2 = 0$, which contains \mathcal{E}_1 as well as \mathcal{A} , and whose members admit an isometric cohomogeneity two action. The remaining spaces $\mathcal{E} - \mathcal{E}_2$ all have a cohomogeneity four action. We point out that $\mathcal{E}_1 \cap \mathcal{A}$ has only one member $A_{1,1}$, the unique Aloff–Wallach space that is also a normal homogeneous space (see [Wi1]).

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THEOREM A. *The isometry group of any positively curved Eschenburg space E has rank 3 and dimension 11, 9, 7, 5, or 3 corresponding to the cases $E = A_{1,1}$, $E \in \mathcal{A} - \{A_{1,1}\}$, $E \in \mathcal{E}_1 - \{A_{1,1}\}$, $E \in \mathcal{E}_2 - (\mathcal{E}_1 \cup \mathcal{A})$, or $E \in \mathcal{E} - \mathcal{E}_2$ respectively.*

There are at most two possible groups for each class, and in all cases we know explicitly what they are (at least up to components, see Theorems 2.4, 2.5, 3.6, and 4.1.)

For more information about equivalences up to diffeomorphism, homeomorphism and homotopy within the classes \mathcal{E} and \mathcal{B} , we refer to [KS], [Kr2], [Sh3], [CEZ] and [FZ1]. We note in particular that there are spaces from the disjoint classes $\mathcal{E} - \mathcal{E}_2$ and \mathcal{E}_2 that are diffeomorphic, but Theorem A implies they cannot be isometric.

The explicit description of each $E \in \mathcal{E}$ gives rise to a “natural group” of isometries $N(E) \subset \text{Iso}(E)$ (see section 1) consisting of isometries of the metric on $SU(3)$ which commute with the circle action. This action has cohomogeneity 0, 1, 2 or 4. In the proof of Theorem A we show that this group cannot be enlarged to a bigger (connected) group of isometries. Here we strongly use the conditions for the Eschenburg space to have positive curvature since it puts severe restrictions on the possible groups that can act isometrically.

Our concrete knowledge of the isometry groups of spaces $E \in \mathcal{E}$ allows us to expand the list of positively curved manifolds with interesting fundamental groups. Here subgroups of $SO(3)$ are particularly interesting, since many of them do not occur as *space form groups*, i.e., as fundamental groups of spaces of constant curvature. The non-abelian simple group A_5 and the abelian non-cyclic group $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ are examples of such groups. We will see that $SO(3)$ itself acts freely and isometrically on only one Aloff–Wallach space, and one Eschenburg space (already found in [Sh1]). Nevertheless we will show the following, which adds infinitely many spaces with distinct homotopy types that violate Chern’s conjecture for fundamental groups of positively curved manifolds.

THEOREM B. *For any finite subgroup $\Gamma \subseteq SO(3)$, there exist infinitely many spaces in \mathcal{E}_1 as well as in $\mathcal{E}_2 - \mathcal{E}_1$ on which Γ acts freely and isometrically.*

Moreover, for any odd positive integers p and q with $\gcd(p+1, q) = 1$ the group $\mathbb{Z}_2 \times \mathbb{Z}_{2q}$ acts freely and isometrically on $E_p \in \mathcal{E}_1$.

We have divided the paper into five sections. In the first section we set up notation, including the precise definitions of the objects we are interested in, and present the tools needed for our proofs. Section 2 deals with the cohomogeneity one spaces \mathcal{E}_1 and \mathcal{B}_1 . The bulk of our work is in section 3 which provides a detailed analysis of the class \mathcal{E}_2 (we also include a brief discussion for the 6-dimensional “Eschenburg flag”). Section 4 is concerned with the class $\mathcal{E} - \mathcal{E}_2$. In section 5 we use our knowledge of isometry groups developed in sections 2 and 3 to find free isometric actions on manifolds from \mathcal{E}_2 .

It is our pleasure to thank Burkhard Wilking for informing us about how to deal with the spaces in $\mathcal{E} - \mathcal{E}_2$ (Theorem 4.1).

1. PRELIMINARIES AND TOOLS

The general strategy for determining the isometry groups of the Eschenburg and Bazaikin spaces has two steps. The first and fairly simple step is to exhibit a (connected) group of isometries which arises naturally from the description of the space. In the second and more difficult step we then show that it cannot be enlarged to a group of larger dimension. This uses an analysis of orbit spaces and isotropy groups. The possible choices of enlargements are severely restricted by a number of classification theorems about positively curved manifolds with large isometry groups. In this section we will describe the first step, and provide the general tools needed for the second step.

Biquotient metrics and Natural Isometries.

Throughout the paper, we let $\text{Iso}(M)$ denote the full group of isometries of a Riemannian manifold M . As usual, the identity component of a Lie group G will be denoted by G_0 , and if $H \subset G$ is a closed subgroup, then $N_G(H)$ is the normalizer of H in G , or just $N(H)$ if it is clear from the context.

By definition, a *biquotient* manifold M is the orbit space $G // U$ of a compact Lie group G , by a subgroup $U \subset G \times G$ acting freely as

$$U \times G \rightarrow G, \quad (u_1, u_2) \cdot g \rightarrow u_1 \cdot g \cdot u_2^{-1},$$

When U lies strictly in one factor of $G \times G$, then the quotient is a homogeneous space.

The Riemannian metrics we consider on a biquotient $M = G // U$ are always induced from a left invariant, $\text{Ad}(K)$ -invariant metric on G where $U \subset G \times K$, and $K \subset G$ is a closed subgroup. We then have the inclusions $\text{Iso}(M) \supset N_{\text{Iso}(G)}(U)/U \supset N_{G \times K}(U)/U$ since $G \times K \subset \text{Iso}(G)$. We will refer to $N(M) := N_{G \times K}(U)/U$ as the *natural group of isometries* of the biquotient $M = G // U$.

Eschenburg and Bazaikin spaces.

We will now describe the special biquotients we are dealing with in this paper, namely the Eschenburg and the Bazaikin spaces \mathcal{E} and \mathcal{B} . For both classes $G = \text{SU}(n)$ and $K = \text{U}(n - 1) = \text{S}(\text{U}(n - 1)\text{U}(1))$, where $n = 3$ and 5 respectively. From the above discussion this will already determine the metrics we consider on the orbit spaces $G // U$, for $U \subset G \times K$.

To describe the spaces in \mathcal{E}^7 , we proceed as follows:

Let $\bar{a} := (a_1, a_2, a_3)$, $\bar{b} := (b_1, b_2, b_3)$ be triples of integers such that $\sum a_i = \sum b_i := c$. Let

$$S_{\bar{a}, \bar{b}}^1 = \{(\text{diag}(z^{a_1}, z^{a_2}, z^{a_3}), \text{diag}(z^{b_1}, z^{b_2}, z^{b_3})) \mid z \in \text{U}(1)\}$$

The $S_{\bar{a}, \bar{b}}^1$ action on $\text{SU}(3)$ is free if and only if for every permutation $\sigma \in S_3$, $\text{gcd}(a_1 - b_{\sigma(1)}, a_2 - b_{\sigma(2)}) = 1$. In this case, we will call the resulting 7-manifold, $E_{\bar{a}, \bar{b}} := \text{SU}(3) // S_{\bar{a}, \bar{b}}^1$, an *Eschenburg space*. Note that $S_{\bar{a}, \bar{b}}^1 \subsetneq \text{SU}(3) \times \text{U}(2)$, but its action is the same, up to an ineffective kernel, as that by $S_{3\bar{a}-\bar{c}, 3\bar{b}-\bar{c}}^1 \subset \text{SU}(3) \times \text{U}(2)$, where $\bar{c} = (c, c, c)$. In [Es1] it was shown that the Eschenburg metric on $E_{\bar{a}, \bar{b}}$ has positive sectional curvature if and only if one of the following holds:

$$(1.1) \quad b_i \notin [a_{\min}, a_{\max}], \text{ or } a_i \notin [b_{\min}, b_{\max}] \text{ for all } i.$$

Strictly speaking, we need to allow the invariance of the metric to be switched, and to choose any of the 3 different block embeddings of $U(2) \subset SU(3)$ in order to obtain this necessary and sufficient condition. But for convenience, we will fix the embedding and assume the metric is left invariant. We reserve the notation \mathcal{E} for those Eschenburg spaces $E_{\bar{a},\bar{b}}$ that have positive curvature. If the action by $S_{\bar{a},\bar{b}}^1$ is only one sided, we obtain the subfamily of homogeneous Aloff–Wallach spaces $A_{k,l} = SU(3)/\text{diag}(k, l, -(k+l))$ with $\gcd(k, l) = 1$. Here we can assume, up to conjugacy and change of orientation, that $k \geq l \geq 0$. $A_{k,l}$ admits a homogeneous metric with positive curvature if and only if $l > 0$.

To describe the spaces in \mathcal{B} , consider a five tuple of integers $\bar{p} = (p_1, p_2, p_3, p_4, p_5)$ with $q := \sum p_i$. Let

$$\text{Sp}(2) S_{\bar{p}}^1 = \{(\text{diag}(z^{p_1}, z^{p_2}, z^{p_3}, z^{p_4}, z^{p_5}), \text{diag}(\text{Sp}(2), z^q))\},$$

where $\text{Sp}(2) \subset SU(4)$ is embedded in the upper block of $SU(5)$. The action of $\text{Sp}(2) S_{\bar{p}}^1$ on $SU(5)$ is free if and only if all p_i are odd and for all permutations $\sigma \in S_5$, $\gcd(p_{\sigma(1)} + p_{\sigma(2)}, p_{\sigma(3)} + p_{\sigma(4)}) = 2$. In this case, we say that $B_{\bar{p}} := SU(5)/\text{Sp}(2) S_{\bar{p}}^1$ is a *Bazaikin space*. As for the Eschenburg spaces above we note that $\text{Sp}(2) S_{\bar{p}}^1 \subsetneq SU(5) \times U(4)$, but its action is the same as that of $\text{Sp}(2) S_{5\bar{p}-\bar{q}}^1 \subset SU(5) \times U(4)$, where $\bar{q} = (q, q, q, q, q)$. From the treatment in [Zi] of Bazaikin's work [Ba1], we know that the Eschenburg metric on $B_{\bar{p}}$ has positive curvature if and only if

$$(1.2) \quad p_{\sigma(1)} + p_{\sigma(2)} > 0 \text{ for all permutations } \sigma \in S_5.$$

We reserve the notation \mathcal{B} for those Bazaikin spaces $B_{\bar{p}}$ that have positive curvature. In the case of $\bar{p} = (1, \dots, 1)$ we obtain the unique Bazaikin space which is homogeneous, the Berger space $B^{13} = SU(5)/\text{Sp}(2) S^1$.

Group Enlargements.

In this subsection we consider the situation where an isometric G action on M is a sub-action of an isometric G^* action, and $G \subseteq G^*$ and M are all compact and connected. Clearly then, one has an induced submetry $\pi : M/G \rightarrow M/G^*$ and $\dim(M/G^*) \leq \dim(M/G)$. Moreover, if we let $(M/G)_0$ denote the *regular* part of M/G , corresponding to the *principal* G orbits M_0 in M , and similarly for the G^* action, we have:

LEMMA 1.3 (Submetry). *All principal G^* orbits in M are equivalent as G manifolds as well. Moreover, the subset $(M/G)_0 \cap \pi^{-1}(M/G^*)_0$ is open and dense in M/G , and the image $\pi((M/G)_0 \cap \pi^{-1}(M/G^*)_0) = (M/G^*)_0$. In particular, M/G and M/G^* are isometric if $\dim(M/G) = \dim(M/G^*)$.*

Proof. Let P and P^* denote the projections from M to M/G and M/G^* respectively. We have the following commutative diagram.

$$\begin{array}{ccc} M & \xrightarrow{P} & M/G \\ & \searrow P^* & \downarrow \pi \\ & & M/G^* \end{array}$$

The collection M_0^* of all principal G^* orbits in M is an open and dense G invariant subset of M . Moreover, all principal G^* orbits are equivalent as G manifolds as well. Clearly then, the set of principal G orbits in M_0^* is open and dense in M , and in fact $P^*(M_0 \cap M_0^*) = (M/G^*)_0$ and $P(M_0 \cap M_0^*) = (M/G)_0 \cap \pi^{-1}(M/G^*)_0$.

Now suppose $\dim(M/G) = \dim(M/G^*)$. Then the map π is a local isometry from $(M/G)_0 \cap \pi^{-1}(M/G^*)_0$ onto $(M/G^*)_0$. Since G and G^* are connected, it is also clearly 1-1, and hence an isometry. It now follows from the first part that M/G and M/G^* are isometric under π . \square

Size Restrictions.

In the presence of positive curvature, the size of the isometry group is restricted, which will be an important tool in our discussions.

Recall that the *symmetry rank* of a manifold by definition is the rank of its isometry group. In positive curvature this rank is bounded above by [GS1].

THEOREM 1.4 (Rank Rigidity). *Assume that a k -dimensional torus acts effectively and isometrically on a positively curved simply connected n -manifold M . Then $k \leq \lfloor \frac{n+1}{2} \rfloor$, and equality holds only when M is diffeomorphic to S^n or $\mathbb{C}P^{n/2}$.*

Another measurement for the size of a group is its dimension. The *degree of symmetry* of a Riemannian manifold M is by definition the dimension of its isometry group. This dimension is severely restricted in positive curvature by the following result of Wilking [Wi3]

THEOREM 1.5 (Symmetry Degree). *Let (M^n, g) be a simply connected, Riemannian manifold of positive curvature. If the symmetry degree of M^n is at least $2n - 6$, then M^n is homotopy equivalent to a compact, rank one symmetric space, or M^n is isometric to a homogeneous space of positive sectional curvature.*

The *cohomogeneity*, i.e., the dimension of the orbit space gives yet another measurement for the size of a transformation group. A related invariant is the so-called *fixed point cohomogeneity* which is the dimension of the normal sphere to the fixed point set in the orbit space. A manifold that supports an action of fixed point cohomogeneity 0 is called *fixed point homogeneous*. Although this will not be used in the sense of size here, the following classification results of [GS2] and [GK] are quite useful for our investigations:

THEOREM 1.6 (Low cofix). *A simply connected manifold of positive curvature with fixed point cohomogeneity at most one, is diffeomorphic to a rank one symmetric space.*

2. COHOMOGENEITY ONE

In this section we single out the subclasses $\mathcal{E}_1 \subset \mathcal{E}$ and $\mathcal{B}_1 \subset \mathcal{B}$ of positively curved cohomogeneity one Eschenburg and Bazaikin spaces, and determine their full isometry groups. Here

$$(2.1) \quad \mathcal{E}_1 = \{E_p = E_{\bar{a}, \bar{b}} \in \mathcal{E} \mid \bar{a} = (1, 1, p), \bar{b} = (0, 0, p + 2), p > 0\}$$

and

$$(2.2) \quad \mathcal{B}_1 = \{B_p = B_{\bar{p}} \in \mathcal{B} \mid \bar{p} = (1, 1, 1, 1, 2p - 1), p > 0\}$$

From (1.1) and (1.2) we know that all these manifolds have positive curvature when equipped with the Eschenburg biquotient metric. We also point out that the S_p^1 , and S_{-p-1}^1 actions on $SU(3)$ are equivalent via the inverse map of $SU(3)$. Moreover, $E_0 \approx E_{-1}$ only has non-negative curvature in the Eschenburg metric, and in fact does not support any cohomogeneity one metric of positive curvature by [GWZ]. Note also, that E_1 is the homogeneous Aloff–Wallach space $A_{1,1}$ and B_1 is the homogeneous Berger space B^{13} .

To see that each E_p has cohomogeneity one note that the natural action by $U(2) \times SU(2)$ (as well as by $SU(2) \times U(2)$) on $SU(3)$ commutes with the S_p^1 action, and that $U(2) \backslash SU(3) / SU(2) = \mathbb{C}P^2 / SU(2)$, which is an interval. We also note that $SU(2) \times SU(2)$ and S_p^1 generates $U(2) \times SU(2)$ and hence the sub-action by $SU(2) \times SU(2)$ is cohomogeneity one as well.

In the case of B_p we see that the natural left action by $U(4)$ on $SU(5)$ commutes with the action of $Sp(2)S_p^1$ which in turn has cohomogeneity one. Indeed, the induced action by $Sp(2)$ on $U(4) \backslash SU(5) = \mathbb{C}P^4$ is the standard sub-action of $Sp(2) \subset SU(4) \subset SU(5)$ and hence, $U(4) \backslash SU(5) / Sp(2)$ is an interval. As in the case of Eschenburg spaces, we note that the group generated by $SU(4)$ and $Sp(2)S_p^1$ is the same as the one generated by $U(4)$ and $Sp(2)$, and therefore the sub-action by $SU(4)$ is also of cohomogeneity one.

Using the tools from section 1 one can prove that if any of these actions extend to a transitive isometric action then $p = 1$ for both classes. It is actually known that none of E_p or B_p for $p > 1$ is even homeomorphic to a homogeneous space (see [Sh3], [FZ1]). Since the full isometry groups of these homogeneous spaces were determined in [Sh1] it remains to consider E_p and B_p for $p > 1$.

We first determine the identity component of $\text{Iso}(E_p)$ by analyzing the sub-action by $G = SU(2) \times SU(2) \subset U(2) \times SU(2)$, which we noted above is also cohomogeneity one. It is important for us, however, to determine the associated *group diagram*, $H \subset \{K^-, K^+\} \subset G$, i.e., the isotropy groups along a minimal geodesic between the two non-principal orbits $B_{\pm} = G / K^{\pm}$ corresponding to the end points of the orbit space interval. This information is also used as a *recognition tool* in the classification work of [GWZ].

PROPOSITION 2.3. *The cohomogeneity one action of $G = SU(2) \times SU(2)$ on E_p has principal isotropy group $H = \{(\pm \text{id})^{p+1}, (\pm \text{id})^p\} \cong \mathbb{Z}_2$ and singular isotropy groups $K^- = \Delta SU(2) \cdot H$ and $K^+ = S_{(p+1,p)}^1$ embedded with slope $(p+1, p)$ in a maximal torus of $SU(2) \times SU(2)$.*

Proof. Consider the point $p_- = S_p^1(e) = \{\text{diag}(z, z, \bar{z}^2)\}$ in E_p , and let $B_- = G(p_-)$ be the orbit of this point under the action of $SU(2) \times SU(2)$. The identity component of the isotropy group at p_- is clearly $K_0^- = \Delta SU(2)$ and the second component in K is generated by $(\text{id}, -\text{id})$. Hence $B_- \cong SO(3) \cong \mathbb{R}P^3$.

Since we already saw that E_p is cohomogeneity one, the action of K^- (effectively by $K_0^- = SU(2)$) on the normal space $T_{p_-}^{\perp}$ to B_- at p_- is the standard action of $SU(2)$ on $\mathbb{C}^2 \cong \mathbb{R}^4$.

Because this action of $SU(2)$ restricted to the normal 3-sphere is both transitive and free, the effective version of the action by G has trivial principal isotropy group.

To find the other singular orbit B_+ , note that $v_- = E_{13} \in \mathfrak{su}(3)$ (standard basis element for the skew symmetric matrices) represents a normal vector to B_- at p_- . One easily checks that the one parameter group $\exp(tv_-)$ is still a geodesic in the left invariant Eschenburg metric on $SU(3)$ and hence on E_p as well. It intersects B_- again at time π , and not earlier. This implies that $p_+ = \exp(\frac{\pi}{2}v_-) \in B_+$, and p_+ is represented by $E_{13} + \text{diag}(0, 1, 0)$. To determine K^+ , let $(g_1, g_2) \in SU(2) \times SU(2) \subset SU(3) \times SU(3)$. We identify $SU(2)$ with the unit sphere in \mathbb{C}^3 as usual and let (a, b) correspond to g_1 and (α, β) to g_2 . Then $(g_1, g_2) \in K^+$ if and only if $(g_1, g_2) \cdot p_+ \in S_p^1(p_+)$. This implies that $b = \beta = 0$, $a = \bar{z}^{p+1}$ and $\alpha = \bar{z}^p$. Or equivalently, $a = z^{p+1}$ and $\alpha = z^p$. Notice that if p is even, then $S_{(p+1,p)}^1$ goes through $(-\text{id}, \text{id})$ while it goes through $(\text{id}, -\text{id})$ if p is odd and hence $H = \{(\pm \text{id})^{p+1}, (\pm \text{id})^p\}$. \square

The action of G is ineffective with kernel H , and hence the natural group of isometries is $U(2) \times SO(3)$ when p is odd, and $SO(3) \times U(2)$ when p is even. Furthermore, complex conjugation on $SU(3)$ normalizes the circle action and hence induces an isometry as well.

THEOREM 2.4. *For any integer $p > 1$, the full isometry group of E_p is given by*

$$\text{Iso}(E_p) = (U(2) \rtimes \mathbb{Z}_2) \times SO(3),$$

where the second component is induced by complex conjugation in $SU(3)$.

Proof. We already saw that $U(2) \times SO(3) \subset \text{Iso}_0(E_p)$. Since E_p , $p > 1$ is not diffeomorphic to a homogeneous space ([Sh3]), any extension of the group action must again be of cohomogeneity one. Moreover, since the two singular orbits B_- and B_+ are non-isometric (not even of the same dimension), it must act trivially on the orbit space interval. In other words any extension of the action will have the same orbits. We now consider the codimension two orbit $B_+ = S^3 \times S^3 / S_{(p+1,p)}^1$ and claim that the action of $\text{Iso}(E_p)$ on B_+ must be effective. Indeed, assume that an element $\gamma \in \text{Iso}(E_p)$ acts trivially on B_+ . Then B_+ is either totally geodesic, or γ acts by reflection on the two dimensional normal space to B_+ . The former is impossible since B_+ does not support a homogeneous metric of positive curvature. The latter is impossible as well, since E_p would then have a totally geodesic hypersurface, but in positive curvature this is only possible when the manifold is homeomorphic to the sphere or to real projective space.

We will now examine the size of the isometry group for a homogeneous metric on B_+ . We already know that $G = S^3 \times S^3 \times S^1$ (effectively $U(2) \times SO(3)$) acts by isometries on B_+ . From the classification of 5-dimensional homogeneous manifolds it follows that it is also the identity component of the isometry group, i.e., no larger connected group can act transitively. One easily checks that $L = \{\text{diag}(z^{p+1}, z^p, 1), \text{diag}(1, w, w)\}$ is the full isotropy group of $S^3 \times S^3 \times S^1$ and that $N(L)/(L \cdot Z(G))$ is trivial. Furthermore, there exists an outer automorphism of $S^3 \times S^3 \times S^1$, unique up to inner automorphisms, which preserves L . From [WZ, Theorem 3.1], (cf. also [Sh2]), it then follows that the isometry group of any homogeneous metric on B_+ can have at most two components. Altogether, this completes the proof. \square

We now turn to the isometry groups of the Bazaikin spaces $B_p \in \mathcal{B}_1$, $p > 1$. We already saw that the natural left $U(4)$ action on $SU(5)$ induces a cohomogeneity one action on B_p . Furthermore, complex conjugation in $SU(5)$ generates a second component.

THEOREM 2.5. *The full isometry group of the cohomogeneity one manifold B_p , $p > 1$ may be written as:*

$$\text{Iso}(B_p) = U(4) \rtimes \mathbb{Z}_2,$$

where the second component is induced by complex conjugation in $SU(5)$.

Proof. We proceed as in the case of Eschenburg spaces above. Since B_p , $p > 1$ is not diffeomorphic to a homogeneous space ([FZ1]), any extension of the group action will have cohomogeneity one and in fact the same orbits when the singular orbits are different.

To determine the orbit structure of the action (cf. also [GWZ]) we consider the orbit equivalent sub-action by $G = SU(4) \subset U(4)$. The orbit through the identity is $SU(4)/(Sp(2) \cup iSp(2)) = \mathbb{R}P^5$ and the action by $K^- = Sp(2) \cup iSp(2)$ on the slice is nontrivial and hence $H = SU(2) \cdot \mathbb{Z}_2$. Since B_p is simply connected, the cohomogeneity one action cannot have any exceptional orbits and since K^+/H is a sphere and H is not connected, it must be one dimensional, i.e., $K^+ = SU(2) \cdot S^1$. Since the centralizer of H in G is two dimensional, S^1 is allowed to have slopes (r, s) inside this two-torus. These slopes are then determined from those for the Eschenburg spaces, since E_p is the fixed point set of the involution $\text{diag}(1, 1, -1, -1, 1) \in SU(5)$, assuming that $SU(2) \subset H$ is the lower 2×2 block of $SU(5)$, (see [Ta]). It thus follows that $(r, s) = (p+1, p)$. Note that since E_p is not homogeneous, this observation also provides a simple geometric proof that B_p cannot be homogeneous, since a totally geodesic submanifold of a homogeneous space is itself homogeneous (cf. [KN], Chapter VII, Corollary 8.10).

We again have that $\text{Iso}(B_p)$ acts effectively on $B_+ = G/K^+$ since B_+ does not support a homogeneous metric with positive curvature, and next determine the isometry group of the metric on B_+ . To see that $U(4)$ is the identity component, one uses [On, Theorem 4.1] to show that $U(4)$ cannot be enlarged to a bigger transitive action. By computing the isotropy representation of G/K^+ , it follows that $N(K^+) \subset SU(2) \cdot U(2)$ and hence $N(K^+)/K^+ = S^1$ is connected. Since furthermore, $U(4)$ has, up to inner automorphisms, a unique outer automorphism, [WZ, Theorem 3.1] finishes the proof. \square

3. COHOMOGENEITY TWO

In this section we define and analyze a subclass $\mathcal{E}_2 \subset \mathcal{E}$ of positively curved, cohomogeneity two Eschenburg spaces, and determine their isometry groups. We will also briefly treat the single 6-dimensional Eschenburg space, E^6 .

Define

$$(3.1) \quad \mathcal{E}_2 = \{E_{\bar{p}} = E_{\bar{a}, \bar{b}} \in \mathcal{E} \mid \bar{a} = (p_1, p_2, p_3), \bar{b} = (0, 0, p_1 + p_2 + p_3)\}$$

We note that the S_p^1 action on $SU(3)$ is free if and only if $\gcd(p_i, p_j) = 1$ for all $i \neq j$. In particular at most one p_i is even. From (1.1) it easily follows that the Eschenburg metric has positive curvature, if and only if, up to reordering of the p_i 's and changing the sign of all three,

one of the following holds:

$$(3.2) \quad 0 < p_1 \leq p_2 \leq p_3 \text{ or } 0 < p_2 \leq p_3 \text{ and } p_1 < -p_3$$

This class obviously contains the cohomogeneity one Eschenburg spaces, $E_{\bar{p}} \in \mathcal{B}_1$, where $\bar{p} = (1, 1, p)$. Moreover, it contains the (homogeneous) Aloff-Wallach spaces \mathcal{A} , since $A_{p_1, p_2} = E_{\bar{p}}$ when $p_3 = -(p_1 + p_2)$.

Note that the natural action on $SU(3)$ by $T^2 \times U(2)$ commutes with the $S^1_{\bar{p}}$ action, and that $T^2 \setminus SU(3)/U(2) = T^2 \setminus \mathbb{C}\mathbb{P}^2$ is a right angled triangle. Since $S^1_{\bar{p}}$ and $T^2 \times SU(2)$ generate $T^2 \times U(2)$, we see that the induced action by $T^2 \times SU(2)$ on $E_{\bar{p}}$ has cohomogeneity two. Clearly the effective group is $T^2 \times SO(3)$ when all p_i are odd, and $T^2 \times SU(2)$ otherwise. For convenience we will work with $T^2 \times SU(2)$ directly. Although we do not need the full orbit structure of this cohomogeneity two action, the following information will be crucial.

LEMMA 3.3. *The orbits of the $T^2 \times SU(2)$ action on $E_{\bar{p}}$, corresponding to the vertices in the quotient triangle, are lens spaces with fundamental groups of order $|p_i + p_j|$.*

Proof. Let us first consider the orbit B_1 going through the image of $\text{id} \in SU(3)$ in $E_{\bar{p}}$. The element $((w_1, w_2), \text{diag}(r, \bar{r})) \in T^2 \times SU(2)$ lies in the isotropy of this point if and only if $\text{diag}(w_1 r, w_2 \bar{r}, \bar{w}_1 \bar{w}_2) = \text{diag}(z^{p_1}, z^{p_2}, \bar{z}^{p_1+p_2})$ for some $z \in U(1)$. Since w_1 and w_2 can be described arbitrarily, the subgroup $1 \times SU(2)$ acts transitively on B_1 and has isotropy group $\text{diag}(z^{p_1}, z^{p_2})$ with $z^{p_1+p_2} = 1$, i.e., B_1 is a lens space with fundamental group $\mathbb{Z}_{|p_1+p_2|}$.

The two other vertices can be represented by $E_{13} + \text{diag}(0, 1, 0)$ and $E_{23} + \text{diag}(1, 0, 0)$ since they are the endpoints of a geodesic of length $\pi/2$ with initial vectors orthogonal to B_1 and singular with respect to the isotropy action on the normal space of B_1 . The same computation as above shows that the orbits through these two points are lens spaces with fundamental group $\mathbb{Z}_{|p_1+p_3|}$ and $\mathbb{Z}_{|p_2+p_3|}$ respectively. \square

In order to determine the full isometry group, we need to prove the following claims:

- If the $T^2 \times SU(2)$ action on $E_{\bar{p}} \in \mathcal{E}_2$ extends to an isometric cohomogeneity one action, then $p_1 = p_2 = 0$, i.e., $E_{\bar{p}} \in \mathcal{E}_1$.
- If the $T^2 \times SU(2)$ action on $E_{\bar{p}} \in \mathcal{E}_2$ extends to a transitive isometric action, then $p_3 = -p_1 - p_2$, i.e., $E_{\bar{p}} \in \mathcal{A}$.

We point out that classification results for positively curved manifolds in cohomogeneity zero and one immediately yield diffeomorphism conclusions in the above cases, but our results are about equality of the integer parameters.

Recall that by the *rank rigidity theorem* (1.4), $\text{Iso}(E_{\bar{p}})$ must have rank 3, and by the *degree theorem* (1.5), $\dim \text{Iso}(E_{\bar{p}}) \leq 8$, unless $E_{\bar{p}}$ is isometric to a homogeneous space with positive curvature. This leaves only the following possible (almost effective) connected extensions G^* of $G = T^2 \times SU(2)$:

- $G^* = S^1 \times SU(2) \times SU(2)$
- $G^* = S^1 \times SU(3)$, or $G^* = SU(2) \times SU(3)$

We first deal with the latter *extension case*:

PROPOSITION 3.4 (Homogeneous Case). *Let $E_{\bar{p}} \in \mathcal{E}_2$, and assume the action of $G = \mathbb{T}^2 \times \mathrm{SU}(2)$ extends to a larger (connected) group G^* with $\dim(G^*) > 8$, then one of the following must occur:*

- (i) $G^* = \mathrm{SU}(2) \times \mathrm{SU}(3)$, $\bar{p} = (1, 1, -2)$ and $E_{\bar{p}}$ is the Aloff–Wallach space $A_{1,1}$.
- (ii) $G^* = \mathbb{S}^1 \times \mathrm{SU}(3)$, $\bar{p} = (p_1, p_2, -p_1 - p_2)$ and $E_{\bar{p}}$ is the Aloff–Wallach space A_{p_1, p_2} .

Proof. The degree theorem implies that under the assumption $\dim(G^*) > 8$, the Eschenburg space must be isometric to a homogeneous space. Since among the positively curved homogeneous spaces in dimension 7, only the Aloff–Wallach spaces have possibly the same homotopy type as an Eschenburg space ([Es1]), it only remains to check the claims about \bar{p} .

In the first case we note that only $A_{1,1}$ has an 11-dimensional isometry group (cf. [Sh2]). To see that indeed $\bar{p} = (1, 1, -2)$, we use the fact that the fourth cohomology group of $E_{\bar{p}}$ is a finite cyclic group of order $r = |p_1 p_2 + p_1 p_3 + p_2 p_3|$ (cf. [Es3]). Using the positive curvature condition (3.2), one easily sees that $r = 3$ is only assumed in the case of $\bar{p} = (1, 1, -2)$.

In the second case, observe that there is, up to conjugacy, only one immersed subgroup $\mathbb{T}^2 \times \mathrm{SU}(2)$ in $\mathbb{S}^1 \times \mathrm{SU}(3)$. Thus the cohomogeneity two action agrees with the one considered above on the Aloff–Wallach spaces $A_{k,l}$ and hence the vertices in the orbit space correspond to 3-dimensional lens spaces with fundamental groups of orders $|k|, |l|, |k + l|$. On the other hand, for the action of $\mathbb{T}^2 \times \mathrm{SU}(2)$ on $E_{\bar{p}}$ the vertices correspond to lens spaces whose fundamental groups have orders $|p_i + p_j|$. This imposes severe restrictions on the p_i 's, and one easily shows that under the positive curvature condition (3.2) this is only possible when $\sum p_i = 0$. \square

For the first *extension case*, we will show:

PROPOSITION 3.5 (Cohomogeneity One Case). *Let $E_{\bar{p}} \in \mathcal{E}_2$, and assume that the (almost effective) action of $G = \mathbb{T}^2 \times \mathrm{SU}(2)$ extends to a larger (connected) group G^* with $\dim(G^*) \leq 7$. Then $G^* = \mathbb{S}^1 \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ and $\bar{p} = (1, 1, p), p > 0$.*

Proof. We have already seen that $G^* = \mathbb{S}^1 \times \mathrm{SU}(2) \times \mathrm{SU}(2)$. It remains to show that the action by G^* must be of cohomogeneity one, and then to recognize \bar{p} .

If the G^* action also has cohomogeneity two, we see from the *submetry lemma* (1.3), that it is orbit equivalent to the G action. Since the latter must have finite principal isotropy group, the fundamental group of the common principal orbits have \mathbb{Z} -rank at least 2. On the other hand, any homogeneous quotient of G^* has fundamental group with \mathbb{Z} -rank at most 1, and we conclude that the G^* action must have cohomogeneity one.

Now consider the submetry $\pi : M/G \rightarrow M/G^*$. From the submetry lemma, we see that the inverse image of one of the end points of the interval M/G^* is a vertex of the right angled triangle M/G , and that the opposite side is the inverse image of the other end point. Let us denote the common singular G and G^* orbit by $B_1 = B_-^*$, and the other singular G^* orbit by B_+^* . Then B_+^* is a cohomogeneity one G manifold at maximal distance to B_1 .

As we saw, B_1 is a lens space with fundamental group of order $|p_i + p_j|$ for some i, j and now the bigger group $G^* = \mathbb{S}^1 \times \mathrm{SU}(2) \times \mathrm{SU}(2)$ also acts transitively on B_1 . This implies that B_1 is either \mathbb{S}^3 or $\mathbb{R}\mathbb{P}^3$, i.e., that $|p_i + p_j| \leq 2$. Indeed, if not, the G^* action on B_1 has a kernel C of dimension at least 3 since the isometry group of any lens space $\mathbb{S}^3/\mathbb{Z}_m$, with $m \geq 3$ has

dimension at most 4. In particular, C must contain an $SU(2) \subset G^*$. Since B_1 has codimension 4, this $SU(2)$ acts either trivially or transitively on the normal spheres to B_1 . In the first case $SU(2)$ then acts trivially on M , and in the second case M is fixed point homogeneous. Either one yields a contradiction (the second by 1.6).

Our next claim is that the two other vertex G orbits, B_2 and B_3 , are lens spaces with isomorphic fundamental groups. To see this, consider the cohomogeneity one G manifold $B_+^* = G^*/K^{+*}$. The singular orbits $B_- = B_2$ and $B_+ = B_3$ are again 3-dimensional lens spaces with isotropy group T^2 , and the principal orbits have isotropy S^1 . In particular $\dim B_+^* = 5$, and since $\text{codim } B_-^* = 4 > 2$ it follows that B_+^* is simply connected by transversality. Thus $K^{+*} = T^2$ and $B_+^* = S^1 \times SU(2) \times SU(2)/T^2 = SU(2) \times SU(2)/S_{s,t}^1$ for some s, t . Note also, that since the $SU(2) \subset G$ commutes with T^2 it must be one of the $SU(2)$ factors of G^* , and we have a sub-action by $G' = S^1 \times SU(2) \subset SU(2) \times SU(2)$ which is orbit equivalent to the G action on B_+^* . The isotropy groups of the G' action are the intersections of $S^1 \times SU(2)$ with all conjugates of $S_{s,t}^1$. Since obviously both singular isotropy groups are 1-dimensional they are $S_{s,t}^1$ and $S_{-s,t}^1$, and thus both B_\pm have fundamental group $\mathbb{Z}_{|s|}$.

We now combine the information $|p_i + p_j| \leq 2$ and $|p_i + p_k| = |p_k + p_j|$ gained so far, with the conditions for positive curvature. One easily sees that this is only possible if either $\bar{p} = (1, 1, p)$ with $p > 0$ or $\bar{p} = (-k, 1, k - 2)$ with k odd and $k \geq 5$. To exclude the latter case we use the fact that by [GWZ] the only manifolds where $S^1 \times SU(2) \times SU(2)$ acts by cohomogeneity one are the Eschenburg spaces E_p for some p . We will now see that this contradicts topological invariants for these spaces. Any Eschenburg space has finite, cyclic fourth cohomology group, and for $E_{\bar{p}} \in \mathcal{E}_2$, this order is $r = |p_1 p_2 + p_1 p_3 + p_2 p_3|$. Kruggel also computed the first Pontrjagin class of an Eschenburg space [Kr1] and it follows in particular that $p_1(E_{\bar{p}}) \equiv 2(p_1 + p_2 + p_3)^2 \pmod{r}$. The cohomogeneity one manifold E_p therefore has $r = 2p + 1$ and $p_1 \equiv p + 5 \pmod{r}$ whereas $E_{(-k,1,k-2)}$ satisfies $r = (k-1)^2 + 1$ and $p_1 \equiv 2 \pmod{r}$. This yields the desired contradiction. \square

In the remaining cases it follows that:

THEOREM 3.6 (Cohomogeneity two Case). *The identity component of the isometry group of an $E_{\bar{p}} \in \mathcal{E}_2 - (\mathcal{E}_1 \cup \mathcal{A})$ is given by*

$$\text{Iso}_0(E_{\bar{p}}) = T^2 \times S,$$

where $S = SO(3)$ when all p_i are odd, and $S = SU(2)$ otherwise.

As in the previous cases, there exists another component of the isometry group generated by complex conjugation in $SU(3)$. We suspect that this is then the full isometry group, but were not able to prove it.

We end this section with a brief discussion of the isometry group of Eschenburg's so-called *twisted flag*. This is the T^2 biquotient of $SU(3)$ defined by

$$E^6 := SU(3)//T^2, \text{ where } T^2 = \{(\text{diag}(z, w, zw), \text{diag}(1, 1, z^2 w^2)) \mid z, w \in U(1)\}.$$

The metric on E^6 induced from the $\text{Ad } U(2)$ invariant metric on $SU(3)$ used in the previous sections has positive curvature (cf. [Es2]). The right action of $U(2) = S(U(2)U(1)) \subset SU(3)$

commutes with the T^2 action and in particular induces an isometric action on E . Moreover, as before, $E/U(2) = T^2 \setminus SU(3)/U(2) = T^2 \setminus \mathbb{C}P^2$ is a triangle and thus E has cohomogeneity two. There are in fact no more isometries in the identity component of the isometry group.

PROPOSITION 3.7. *The identity component $\text{Iso}_0(E^6)$ of the twisted flag E^6 is $U(2)$.*

Proof. In [Sh3] it was shown that E is not homotopy equivalent to any homogeneous space and in [Se] that E does not support any positively curved cohomogeneity one metric. So any potential extension of the $U(2)$ action is again by cohomogeneity two.

From the rank rigidity theorem (1.4) we know that $\text{Iso}(E^6)$ has rank at most 2, and from the degree theorem (1.5) that $\dim \text{Iso}(E^6) \leq 6$. The only rank 2 group containing $U(2)$ of dimension at most 6 is $SO(4)$. If the $U(2)$ action were to extend to $SO(4)$, it would have to have the same orbits by the submetry lemma. But the 4-dimensional principal $U(2)$ orbits have infinite fundamental group whereas a 4-dimensional quotient of $SO(4)$ has finite fundamental group.

Finally, since the commuting actions by $U(2)$ and T^2 on $SU(3)$ only have id in common, the induced $U(2)$ action on E^6 is effective. \square

Remark. It is interesting to note that the normal homogeneous Aloff–Wallach space, $E_{1,1,-2}$, admits actions of any possible cohomogeneity k , with $0 \leq k \leq 7$.

4. COHOMOGENEITY FOUR

In this section we complete our discussion of isometry groups of Eschenburg spaces. The biquotient action of the maximal torus in $SU(3) \times SU(3)$ induces an isometric action of a 3-torus T^3 on $E_{\bar{a},\bar{b}}$. Since any effective action by an abelian group has trivial principal isotropy group, the quotient is four dimensional, i.e. an Eschenburg space in $E_{\bar{a},\bar{b}} \in \mathcal{E} - \mathcal{E}_2$ has cohomogeneity 4. We now show that this is the connected group of isometries.

THEOREM 4.1. *The identity component of $\text{Iso}(E_{\bar{a},\bar{b}})$ for any $E_{\bar{a},\bar{b}} \in \mathcal{E} - \mathcal{E}_2$ is the 3-torus T^3 .*

Proof. From the rank rigidity theorem it follows that $\text{Iso}(E_{\bar{a},\bar{b}})$ has rank 3, so if $\dim \text{Iso}(E_{\bar{a},\bar{b}}) > 3$ a finite cover of it will contain $S^3 \times T^2$.

Suppose now that $G = S^3 \times T^2$ acts almost effectively and isometrically on $E_{\bar{a},\bar{b}}$. One easily sees that the group $T^3 \subset G$ of natural isometries has isotropy group T^2 at the point $p \in E_{\bar{a},\bar{b}}$ corresponding to the identity matrix of $SU(3)$. In particular G_p has rank 2 and therefore its identity component is either $S^3 \times S^1$ or T^2 . In the first case the orbit Gp is a circle and in the second case a 3-dimensional lens space.

We rule out the first case as follows: Since $S^3 \times S^1$ is a normal subgroup of G , the circle orbit $C = Gp$ is fixed by $S^3 \times S^1$. First assume that the S^1 factor, or some non-trivial cyclic subgroup Γ of it, fixes a 2-dimensional or a 4-dimensional subspace of the 6-dimensional normal space to C . Then the S^3 factor acts as the direct sum of a trivial and a 3 or 4 dimensional irreducible representation on this normal space since it preserves the fixed point set of Γ . This implies that the S^3 factor acts fixed point homogeneously on $E_{\bar{a},\bar{b}}$. So by (1.6) we conclude that the S^1 factor must act freely on the normal 5-sphere, i.e., it is the Hopf action. This means that the S^3

representation is a 3-dimensional complex representation. In particular it is either fixed point homogeneous, or it is the sub-action of $SO(3)$ in $SU(3)$. In the latter case the action of $S^3 \times S^1$ is effectively the tensor product action of $SO(3) \times SO(2)$ which has cohomogeneity one on the normal 5-sphere. Thus the S^3 action on $E_{\bar{a},\bar{b}}$ has fixed point cohomogeneity one, contradicting Theorem (1.6).

In the second case we claim that the Eschenburg space lies in \mathcal{E}_2 : The identity component T^2 of the isotropy group G_p contains a circle, S , in the T^2 factor of G . In particular, S fixes the 3-dimensional orbit Gp . Since the fixed point set of S has even codimension, it follows again from (1.6) that the homogeneous space Gp is a component of the fixed point set of S . Note that S consists of natural isometries, and one easily analyzes the fixed point sets of such isometries (see [FZ2]). The circle actions S and $S_{\bar{a},\bar{b}}^1$ define an action of a 2-torus on $SU(3)$ and a fixed point of S on $E_{\bar{a},\bar{b}}$ corresponds to $g \in SU(3)$ whose orbit under the 2-torus is one dimensional. Hence there exist a circle action $S_{\bar{c},\bar{d}}^1$ inside this two torus which fixes g which means that $i\bar{c}$ (considered as a diagonal matrix in $\mathfrak{su}(3)$) is conjugate to $i\bar{d}$ via the matrix g . Thus, up to permutation, the diagonal entries agree. If the diagonal entries are distinct, g must be diagonal (up to permutations of the rows), which implies that the fixed point set of S is a circle in $E_{\bar{a},\bar{b}}$. Since the fixed point set is 3-dimensional, we conclude they both have a double eigenvalue which agrees. Since by assumption the component of the fixed point set also contains Id , it follows that g lies in one of the three blocks $U(2) \subset SU(3)$.

We finally claim that the image $U(2)//S_{\bar{a},\bar{b}}^1$ in $E_{\bar{a},\bar{b}}$, which agrees with Gp by assumption, is homogeneous if and only if the induced action by $S_{\bar{a},\bar{b}}^1$ on $U(2)$ is one sided, which is precisely when the Eschenburg space has cohomogeneity two (cf. (3.1) where $U(2)$ is chosen as the 12 block). To see this, we observe that the universal cover of $U(2)//S_{\bar{a},\bar{b}}^1$ can be written as $S^1 \times S^3 / S_{p,q}^1$ for some $p, q \in \mathbb{Z}$, where the $S^1 = S_{p,q}^1$ action is given by $z \cdot (w, t) = (zw, z^p t z^{-q})$. Furthermore, S^3 is endowed with a left invariant, S^1 -right invariant metric and $S^1 \times S^3$ carries the product metric. The homogeneous orbit Gp is, up to covering, a Berger sphere since the rank of its isometry group is 2. This in turn implies that the natural T^2 action on it has two circle orbits and the length of these two orbits coincide. On $U(2)//S_{\bar{a},\bar{b}}^1$ we also have a natural isometric T^2 action coming from torus actions on the left and on the right. These T^2 actions would have to coincide since they are both maximal tori in the full isometry group. The inverse image of the singular orbits of this T^2 action in $S^1 \times S^3$ are two dimensional tori namely $S^1 \times S^1$ and $S^1 \times j S^1$ for the quaternion j . Clearly the volume of these two tori coincide and hence the length of $(S^1 \times S^1)//S^1$ and $(S^1 \times j S^1)//S^1$ can only be the same if the S^1 actions on the two tori have orbits of the same length. But this implies that $|p - q| = |p + q|$, i.e., $p = 0$ or $q = 0$, and the action is one sided. \square

5. FUNDAMENTAL GROUPS

It is a simple and well known fact that any finite group is the fundamental group of a non-negatively curved manifold. A basic question is if there are any obstructions in positive curvature other than finiteness of the fundamental group.

In analogy with the situation in negative curvature, where a theorem of Preismann asserts that any abelian subgroup must be cyclic, Chern proposed the same obstruction for positive curvature in [Ch]. However, in [Sh1], it was shown that two well known positively curved manifolds, the Aloff–Wallach space $A_{1,1}$ and the cohomogeneity one Eschenburg space $E_{1,1,2}$, both admit a free, isometric $\mathrm{SO}(3)$ -action. In particular, any finite group $F \subset \mathrm{SO}(3)$ containing $\mathbb{Z}_2 \oplus \mathbb{Z}_2 = \mathrm{S}(\mathrm{O}(1) \mathrm{O}(1) \mathrm{O}(1))$ is the fundamental group of a positively curved manifold contradicting Chern’s conjecture. Soon after infinitely many examples with fundamental group $\mathbb{Z}_3 \oplus \mathbb{Z}_3$ were found in [GSh] (one of these was also found independently in [Ba2]). These as well as other groups were in fact the first *non-space form groups*, to be exhibited in positive curvature. We proceed to exhibit an abundance of examples of positively curved manifolds with non-space form groups as fundamental groups.

In all cases we have encountered, we only get something interesting from subgroups of the identity component. Moreover, as observed in [GSh], if $\Gamma \subseteq \mathrm{Iso}_0$ acts freely, then Γ intersects any maximal torus in a cyclic group. According to Borel [Bo] a compact, connected group G has a non-toral $\mathbb{Z}_p \oplus \mathbb{Z}_p$ if and only if $\pi_1(G)$ has p -torsion. From our description of isometry groups here, this already suggests not to expect more interesting free, finite sub-actions from $\mathrm{U}(2) \times \mathrm{SO}(3) = \mathrm{Iso}_0(E_p)$ than from $\mathrm{SU}(2) \times \mathrm{SO}(3)$. Similarly, only when all p_i are odd, is there a chance that $\mathrm{Iso}_0(E_{\bar{p}}) = \mathrm{T}^2 \times \mathrm{SO}(3)$ has interesting free finite sub-actions, and they all come already from $\mathrm{S}^1 \times \mathrm{SO}(3)$. Evidently, the cohomogeneity four Eschenburg spaces do not admit free, isometric actions of non-cyclic, abelian subgroups.

Since $\mathrm{SO}(3)$ has many interesting finite subgroups that are not space form groups, it is worthwhile to determine for which $E_{\bar{p}} \in \mathcal{E}_2$ an $\mathrm{SO}(3) \subset \mathrm{Iso}(E_{\bar{p}})$ acts freely. Moreover, $\mathrm{SO}(3)$ is a normal subgroup in $\mathrm{Iso}(E_{\bar{p}})$, so the isotropy groups of the action are simply its intersection with the regular and singular isotropy groups of the cohomogeneity two action. By considering the isotropy groups corresponding to the vertex points determined in (3.3), it follows that if the action is free, then $\bar{p} = (1, 1, 1)$ or $\bar{p} = (1, 1, 2)$. This recovers the main result in [Sh1] (see also [Cha] where it is shown that $A_{1,1}$ is a fat, $\mathrm{SO}(3)$ -principal bundle over $\mathbb{C}\mathbb{P}^2$.)

THEOREM 5.1. $\mathrm{SO}(3) \subseteq \mathrm{Iso}(E_{\bar{p}})$ acts freely if and only if $\bar{p} = (1, 1, 1)$ or $\bar{p} = (1, 1, 2)$.

Although $\mathrm{SO}(3)$ does not act freely on other Eschenburg spaces, we will see that any finite subgroup $F \subset \mathrm{SO}(3)$ in fact acts freely on a large class of these spaces. As we will see, the same assertion holds for the product of many cyclic groups with $F \subset \mathrm{SO}(3)$.

We begin with the cohomogeneity one spaces E_p , where $\mathrm{Iso}(E_p)_0 \cong \mathrm{SO}(3) \times \mathrm{U}(2)$. For any finite group $\Gamma \subset \mathrm{SU}(2) \times \mathrm{SU}(2)$, we let $\Gamma(p) \subset \mathrm{Iso}(E_p)$ be the image. Recall that $\mathrm{H} = \{(\pm \mathrm{id})^{p+1}, (\pm \mathrm{id})^p\}$ is the kernel of the action.

PROPOSITION 5.2. For a finite group $\Gamma \subset \mathrm{SU}(2) \times \mathrm{SU}(2)$, the group $\Gamma(p)$ acts freely on E_p if and only if for all $(\gamma_1, \gamma_2) \in \Gamma - \mathrm{H}$ we have the conditions

- $|\gamma_1| \neq |\gamma_2|$, and $(\gamma_1, \gamma_2) \neq \pm(-\mathrm{id}, \mathrm{id})$, and
- $|\gamma_1|$ does not divide $p|\gamma_2|$ or $|\gamma_2|$ does not divide $(p+1)|\gamma_1|$,

where $|\gamma_i|$ denotes the order of γ_i .

Proof. Any element of $SU(2)$ has eigenvalues $\{\lambda, \bar{\lambda}\}$ for some $\lambda \in U(1)$; let γ_i have eigenvalues $\{\lambda_i, \bar{\lambda}_i\}$. Clearly $\Gamma(p)$ fails to act freely only if for some $(\gamma_1, \gamma_2) \in \Gamma - \text{id}$ there is a $(\text{diag}(z, z, z^p), \text{diag}(1, 1, z^{p+2})) \in S_p^1$ such that $\text{diag}(z, z, z^p)\gamma_1$ and $\text{diag}(1, 1, z^{p+2})\gamma_2$ are conjugate, i.e., have the same set of eigenvalues. So the action is free unless the sets $\{z\lambda_1, z\bar{\lambda}_1, z^p\}$ and $\{\lambda_2, \bar{\lambda}_2, z^{p+2}\}$ are the same. This happens only if either: (a) $\lambda_1 = \pm\lambda_2$, or $\lambda_1 = \pm\bar{\lambda}_2$ or (b) $\lambda_1^p = \lambda_2^{p+1}$ or $\lambda_1^p = \bar{\lambda}_2^{p+1}$. Case (a) corresponds to $|\gamma_1| = |\gamma_2|$ or $(\gamma_1, \gamma_2) = \pm(-\text{id}, \text{id})$ while in case (b) we have that $|\gamma_2|$ divides $|\gamma_1|(p+1)$ and $|\gamma_1|$ divides $|\gamma_2|p$. \square

This has some interesting consequences. For instance, if $\Gamma \subset SU(2) \times \{\text{id}\}$, and p is even, then $\Gamma(p)$ acts freely if none of the orders of elements of $\Gamma \setminus \{-\text{id}\}$ divide p . Also, $\Gamma(p) \times \mathbb{Z}_q$ acts freely on E_p , p even if $\gcd(|\Gamma|, q) = \gcd(|\Gamma|, p) = 1$ or $\gcd(|\Gamma|, q) = \gcd(|\Gamma|, p+1) = 1$. We deal with $\Gamma(p)$ and $\mathbb{Z}_q \times \Gamma(p)$ for $\Gamma \subset \{\text{id}\} \times SU(2)$, and p is odd similarly. This proves the cohomogeneity one part of Theorem B in the introduction. Note that the quaternion group $\Gamma = \{\pm 1, \pm i, \pm j, \pm k\} \subset SU(2)$ corresponds to $\Gamma(p) = \mathbb{Z}_2 \oplus \mathbb{Z}_2 \subset SO(3)$, and that $\mathbb{Z}_q \times (\mathbb{Z}_2 \oplus \mathbb{Z}_2) = \mathbb{Z}_{2q} \oplus \mathbb{Z}_2$.

For the cohomogeneity two Eschenburg spaces E_{p_1, p_2, p_3} with all p_i odd, recall that the right action by $SU(2)$ acts effectively as $SO(3)$. For these we have

PROPOSITION 5.3. *Any finite group $F \subset SO(3)$ acts freely on an infinite family of spaces $E_{\bar{p}} \in \mathcal{E}_2$, in particular when all p_i are odd and distinct and $p_i \equiv 1 \pmod{|F|}$.*

Proof. As usual we let F^* be the inverse image of F by the map $SU(2) \rightarrow SO(3) = SU(2)/\{\pm \text{id}\}$. In particular, the order of any element of F^* divides the order of F .

Let p_1, p_2, p_3 be three distinct primes, all congruent to 1 modulo $|F|$ (infinitely many such primes exist by Dirichlet's theorem on primes in arithmetic progression). As in the proof above we see that the action of F on the space E_{p_1, p_2, p_3} fails to be free only if the sets $\{z^{p_1}, z^{p_2}, z^{p_3}\}$ and $\{\gamma, \bar{\gamma}, z^{\sum p_i}\}$ are the same for some $z \in U(1)$. Without loss of generality we may assume that $z^{p_1} = \gamma, z^{p_2} = \bar{\gamma}, z^{p_1+p_2} = 1$. Since in particular p_1 and p_2 are relatively prime, we can find integers a, b such that $ap_1 + bp_2 = 1$. Then $z = z^{ap_1 + bp_2} = \gamma^{a-b}$. But since also p_1 and p_2 are both congruent to 1 modulo $|F|$, we have: $\gamma = z^{p_1} = \gamma^{(a-b)p_1} = \gamma^{a-b}$ and $\bar{\gamma} = z^{p_2} = \gamma^{(a-b)p_2} = \gamma^{a-b}$. This implies $\gamma = \bar{\gamma} = \pm \text{id}$ which is simply the kernel of the action. Hence, $F^*/\{\pm \text{id}\} = F$ acts freely and isometrically on each of these spaces. \square

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